

CS 758/858: Algorithms

`http://www.cs.unh.edu/~ruml/cs758`

Heaps

More Heaps

Heaps

- Problems
- Heaps
- Implementation
- Insertion
- Pull Up
- Extract Min
- Push Down
- Analysis
- Break

[More Heaps](#)

Heaps

Problems

Heaps

Problems

Heaps

Implementation

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[More Heaps](#)

1. Finding the min

Problems

Heaps

Problems

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More Heaps

1. Finding the min
2. Finding the min with insertions

Problems

Heaps

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More Heaps

1. Finding the min
2. Finding the min with insertions
3. Finding the min with insertions and deletions

Heaps

Invariant: parent comes before (or equal to) children

Heaps

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More Heaps

Implementation

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More Heaps

$$\text{parent } i = \frac{(\text{child } i) - 1}{2}$$

$$\text{left child of } i = 2i + 1$$

$$\text{right child of } i = 2i + 2$$

automatic balance!

Insertion

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More Heaps

1. insert at end

Insertion

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More Heaps

1. insert at end
2. re-establish invariant by

Insertion

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More Heaps

1. insert at end
2. re-establish invariant by pulling up if necessary

Pull Up

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More Heaps

assume heap except possibly between i and parent:
 $A[i]$ might be too small

so consider pulling $A[i]$ up

$\text{pullup}(i)$

1. if $A[i]$ comes before $A[\text{parent}]$
2. exchange $A[i]$ with $A[\text{parent}]$
3. $\text{pullup}(\text{parent})$

invariant: initialization, maintenance, termination

Extract Min

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More Heaps

1. remove first elt
2. copy last into first

Extract Min

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More Heaps

1. remove first elt
2. copy last into first
3. re-establish invariant by

Extract Min

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More Heaps

1. remove first elt
2. copy last into first
3. re-establish invariant by pushing down if necessary

Extract Min

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More Heaps

1. remove first elt
2. copy last into first
3. re-establish invariant by pushing down if necessary

heapsort

Push Down

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More Heaps

assume heap except possibly between i and parent:

$A[i]$ might be too large

so consider pushing $A[i]$ down

pushdown(i)

1. $smallesti \leftarrow$ index of smallest among i and children
2. if $smallesti \neq i$ then
3. exchange $A[i]$ with $A[smallesti]$
4. pushdown($smallesti$)

invariant: initialization, maintenance, termination

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More Heaps

Correctness

What's the space complexity?

What's the time complexity?

Break

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■ Break

More Heaps

- asst 1
- asst 2
- asst 3

Heaps

More Heaps

- Creation
- Creation Time
- Sizing the Array
- Amortization 2
- Problems
- EOLQs

More Heaps

Creation

Heaps

More Heaps

■ Creation

■ Creation Time

■ Sizing the Array

■ Amortization 2

■ Problems

■ EOLQs

Given array, how to form heap?

Creation

Heaps

More Heaps

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Given array, how to form heap?

Can we do better than $\Theta\left(\frac{n}{2} \lg \frac{n}{2}\right) = \Theta(n \lg n - n) = \Theta(n \lg n)$?

Creation

Heaps

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Given array, how to form heap?

Can we do better than $\Theta\left(\frac{n}{2} \lg \frac{n}{2}\right) = \Theta(n \lg n - n) = \Theta(n \lg n)$?

bottom up:

1. for i from $\frac{length}{2} - 1$ to 0
2. pushdown(i)

how long does this take?

Creation Time

height of a node is (longest) distance to a leaf

$$\sum_{h=0}^{\lg n} (O(h) \times \#-nodes-with-height-h)$$

Heaps

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■ Creation

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Creation Time

height of a node is (longest) distance to a leaf

$$\sum_{h=0}^{\lg n} (O(h) \times \#-nodes-with-height-h)$$

We will see $\frac{n}{2^{h+1}}$ nodes with height h .

$$\sum_{h=0}^{\lg n} O(h) \frac{n}{2^{h+1}} = O\left(n \sum_{h=0}^{\lg n} \frac{h}{2^{h+1}}\right)$$

Heaps

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Creation Time

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$$\sum_{h=0}^{\infty} \frac{h}{2^h} = 2$$

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Creation Time

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$$\sum_{h=0}^{\infty} \frac{h}{2^h} = 2$$

$$\begin{aligned} O\left(n \sum_{h=0}^{\lg n} \frac{h}{2^{h+1}}\right) &= O\left(n \sum_{h=0}^{\infty} \frac{h}{2^h}\right) \\ &= O(n) \end{aligned}$$

Heaps

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■ Creation

■ **Creation Time**

■ Sizing the Array

■ Amortization 2

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Sizing the Array: Amortization 1

resize by doubling! how expensive?

Heaps

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Sizing the Array: Amortization 1

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resize by doubling! how expensive?

'amortized' analysis: the 'accounting method'

1. start half full, with zero credit
2. each insertion costs 3:
 - (a) insert self now
 - (b) eventually move self when full
 - (c) eventually move an existing elt when full
3. when full, have credit for each item
4. now half full, with zero credit

Amortization 2

Heaps

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'amortized' analysis: the 'aggregate method'

Let $c_i = i$ if $i - 1$ is a power of 2, 1 otherwise.

$$\sum_{i=1}^n c_i$$

Amortization 2

Heaps

More Heaps

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‘amortized’ analysis: the ‘aggregate method’

Let $c_i = i$ if $i - 1$ is a power of 2, 1 otherwise.

$$\begin{aligned}\sum_{i=1}^n c_i &\leq n + \sum_{j=0}^{\lg n} 2^j \\ &< n + 2n \\ &< 3n\end{aligned}$$

Problems

Heaps

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1. Finding the min
2. Finding the min with insertions
3. Finding the min with insertions and deletions
4. Finding the k th largest

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- What's still confusing?
- What question didn't you get to ask today?
- What would you like to hear more about?

Please write down your most pressing question about algorithms and put it in the box on your way out.

Thanks!