

# CS 758/858: Algorithms

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<http://www.cs.unh.edu/~ruml/cs758>

Turing Machines

Undecidability

## Turing Machines

- 'Computing'
- Models
- A.M. Turing
- The set up
- In summary
- Extensions
- The thesis
- Other models
- Universality
- Minsky's machine

Undecidability

# Turing Machines

# What is 'information processing' ?

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## Undecidability

Take some input, process it, render some output.

Would like an abstract model for this, independent of realization.

No homunculi! 'Process' steps must be clear and unambiguous.

# Modeling of Computing

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## Undecidability

- finite-state machine: regular languages
- pushdown automaton: context-free languages
- Turing machine: computable languages

# Alan Mathison Turing (1912-1954)

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## Undecidability



# The set up

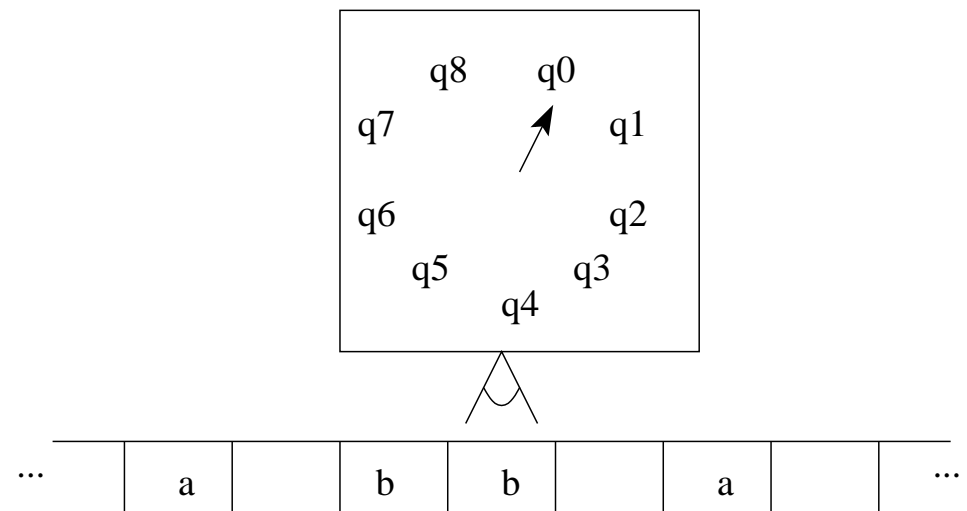
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## Undecidability

A *Turing machine* has:

- a **processor** that can be in one of a finite number of states
- an **infinite tape** of symbols (from finite alphabet)
- a **head** that reads and writes the tape, one symbol at a time



# The set up

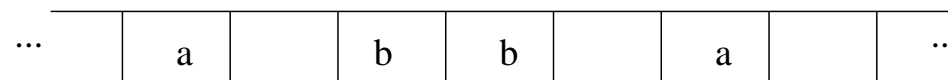
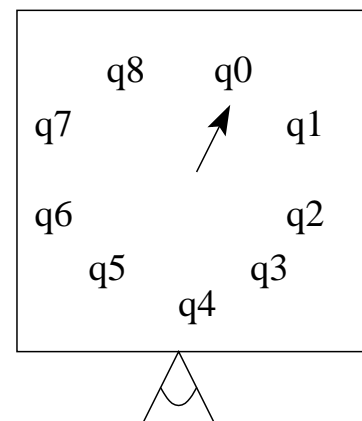
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- a **processor** that can be in one of a finite number of states
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The processor looks at

1. the symbol under the head
2. its current state

and then

3. writes a symbol (could be same as old)
4. moves the head left, right, or stays still
5. puts itself in a next state (could be same as old)

# In summary

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## Undecidability

A Turing machine is:

1. a finite alphabet of possible tape symbols (including  $\square$ )
2. an infinite tape of symbols (initially  $\square$ , except for input)
3. a starting head position
4. a finite set of possible processor states
5. a starting processor state
6. a set of 'final' processor states that are 'accept' or 'reject'
7. a set of transition rules for the processor

One of the first (and still most popular) abstract models of computation.



# Extensions

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## ■ Extensions

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## Undecidability

- tape infinite in only one direction
- multiple tapes at once
- multiple heads at once
- 2-D "tape"

All polytime related!

# Church-Turing Thesis

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## Undecidability

Any 'effective computing procedure' can be represented as a Turing machine.

# Other models

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## Undecidability

equivalent to Turing machines (compute time may vary):

- Post rewriting systems (grammars)
- recursive functions
- $\lambda$  calculus
- parallel computers
- cellular automata
- certain artificial neural networks (most are weaker)
- quantum computers

There must be something substantive about this!

# Universal machines

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## Undecidability

Can represent Turing machine as a table

state, symbol  $\rightarrow$  symbol, action, state  
state, symbol  $\rightarrow$  symbol, action, state  
:

Can write the table on an input tape

Universal machine: input is machine and machine's input

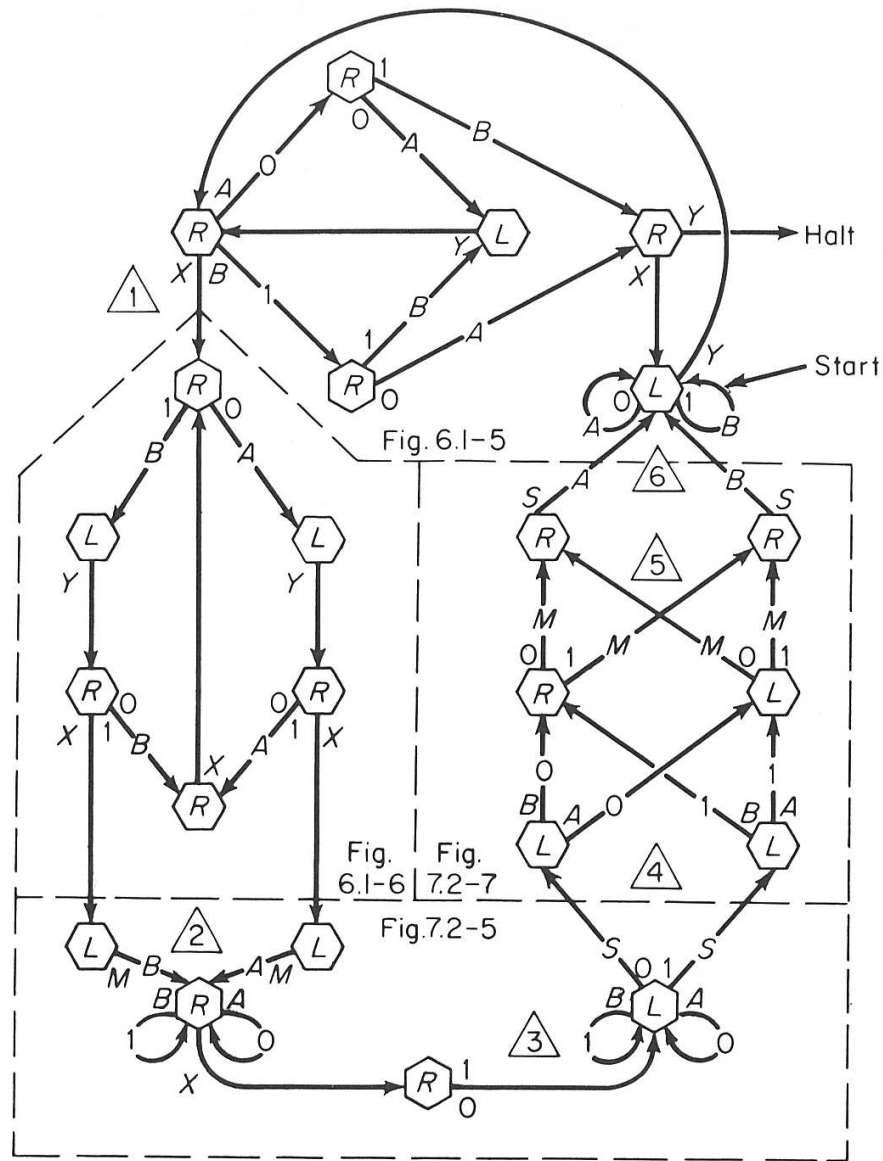
'Stored program' computation

# Minsky's universal machine

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## Undecidability



## Turing Machines

### Undecidability

- TM Languages
- Halting Problem
- Proof (1/2)
- Proof (2/2)
- Summary
- Break
- Rice's Theorem
- Proof Sketch
- Summary
- Coping with NPC
- EOLQs

# Undecidability

# Turing Machine Languages

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## Turing Machines

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#### ■ TM Languages

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## M **accepts** L = M **recognizes** L

- M enters accepting state (as opposed to reject state or not halting)
- $\Rightarrow$  L is Turing-recognizable
- 'recursively-enumerable' languages

## M **decides** L

- M always eventually halts (either accepting or rejecting)
- $\Rightarrow$  L is Turing-decidable
- 'recursive' languages, more restricted

# Software Verification: The Halting/Accepting Problem

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$$L_H = \{ \langle M, w \rangle : M \text{ is a TM and } M \text{ accepts } w \}$$



# Software Verification: The Halting/Accepting Problem

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#### ■ EOLQs

$$L_H = \{ \langle M, w \rangle : M \text{ is a TM and } M \text{ accepts } w \}$$

**deciding**  $L_H$ : halt with  $Y$  or  $N$

**accepting**  $L_H$ : halt with  $Y$  or either halt with  $N$  or run forever

Any universal machine can accept  $L_H$ .

But can a machine decide it?

# Proof (1/2)

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Turing Machines

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$L_H = \{ \langle M, w \rangle : M \text{ is a TM and } M \text{ accepts } w \}$

**Assume**  $\exists M_H$  that decides  $L_H$ .

So,  $M_H(\langle M, w \rangle) \mapsto$  accept iff  $M$  accepts  $w$ , reject otherwise

Reminder:

**deciding**  $L_H$ : halt with  $Y$  or  $N$

**accepting**  $L_H$ : halt with  $Y$  or either halt with  $N$  or run forever

# Proof (1/2)

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Simplification 1:  $M_{SH}(\langle M \rangle) \mapsto$  accept iff  $M$  accepts  $M$ ,  
reject otherwise

Simplification 2:  $M_{ISH}(\langle M \rangle) \mapsto$  reject iff  $M$  accepts  $M$ ,  
accept otherwise

Can such a machine  $M_{ISH}$  exist?

It must exist if  $M_H$  can exist!

Reminder:

**deciding**  $L_H$ : halt with  $Y$  or  $N$

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# Proof (2/2)

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# Proof (2/2)

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$L_H = \{ \langle M, w \rangle : M \text{ is a TM and } M \text{ accepts } w \}$

**Assume**  $\exists M_H$  that decides  $L_H$ .

$M_{ISH}(\langle M \rangle) \mapsto$  reject iff  $M$  accepts  $M$ , accept otherwise

run  $M_{ISH}$  on itself!

$M_{ISH}(\langle M_{ISH} \rangle) \mapsto$  reject iff  $M_{ISH}$  accepts  $M_{ISH}$ ,  
otherwise accept ( $M_{ISH}$  rejects  $M_{ISH}$ )

Reminder:

**deciding**  $L_H$ : halt with  $Y$  or  $N$

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# Proof (2/2)

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run  $M_{ISH}$  on itself!

$M_{ISH}(\langle M_{ISH} \rangle) \mapsto$  reject iff  $M_{ISH}$  accepts  $M_{ISH}$ ,  
otherwise accept ( $M_{ISH}$  rejects  $M_{ISH}$ )

**Contradiction!**  $M_{ISH}$  and therefore  $M_H$  cannot exist.  
 $L_H$  is undecidable.

Reminder:

**deciding**  $L_H$ : halt with  $Y$  or  $N$

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# Summary

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**Assume**  $\exists M_H$  that decides  $L_H$ .

$M_H$  accepts  $\langle M, w \rangle$  iff  $M$  accepts  $w$

# Summary

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$M_H$  accepts  $\langle M, w \rangle$  iff  $M$  accepts  $w$

$M_{SH}$  accepts  $\langle M \rangle$  iff  $M$  accepts  $M$



# Summary

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$M_{ISH}$  rejects  $\langle M_{ISH} \rangle$  iff  $M_{ISH}$  accepts  $M_{ISH}$  — Yikes!

# Summary

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$M_{ISH}$  rejects  $\langle M_{ISH} \rangle$  iff  $M_{ISH}$  accepts  $M_{ISH}$  — Yikes!

No Turing machine can tell if another halts.

By Church-Turing, no algorithm for the halting problem exists.

There are problems for which **no algorithm can exist**.

# Break

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■ asst 12

■ asst 13

# Rice's Theorem

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The function computed by a Turing machine is the mapping from its **input** (string of symbols initially on the tape) to its **output** (string of symbols on its tape when it halts)

Theorem: Any non-trivial property of the function computed by a Turing machine is **undecidable**.

Therefore, we cannot decide anything 'non-trivial' about the function computed by a Turing machine.

Henry Gordon Rice, Professor of Math at UNH in the 1950s!

# Proof Sketch

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Example: does a given TM compute the add 1 function?

**Assume** machine  $isAdd1()$  can decide whether or not its input is a Turing machine that computes the add 1 function.

Now, given  $M$  and input  $x$ , we can decide if  $M(x)$  halts:

- Make a temporary machine  $T(i) = \{M(x); \text{return } i + 1\}$
- Now, test if  $T$  satisfies the  $isAdd1$  property:  $isAdd1(T)$

Can now decide the halting problem:

- If  $M(x)$  halted, then  $isAdd1(T)$  says “Yes” because  $T(i)$  computed  $i + 1$
- If  $M(x)$  never halts, then  $T(i)$  never halts and  $isAdd1(T)$  must say “No”

So  $isAdd1()$  cannot exist.

# Summary

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## Turing machines

- model what we mean by computation, independent of hardware
- are not something you want to program much yourself
- seem to be able to express any algorithm
- provide an example of stored-program interpretation
- illustrate limits on what can be computed
- provide the foundation for computational complexity

# Coping with NP-Completeness

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- find tractable special case
- run only on small inputs
- heuristic optimal algorithm that's usually fast
- heuristic non-optimal algorithm that's always fast
- ◆ if bounded suboptimality: 'approximation algorithm'



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For example:

- What's still confusing?
- What question didn't you get to ask today?
- What would you like to hear more about?

Please write down your most pressing question about algorithms and put it in the box on your way out.

*Thanks!*