

# CS 758/858: Algorithms

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<http://www.cs.unh.edu/~ruml/cs758>

NP-Completeness

SAT

## NP-Completeness

- Terms
- Interchangability
- Reductions
- NPC Proofs
- C-SAT is in NP
- C-SAT is NP-Hard
- Break

SAT

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# NP-Completeness

# Terms

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## NP-Completeness

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## SAT

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optimization vs decision: if opt were easy, decision would be too

P: solvable in polynomial time

NP:  $\exists$  certificate verifiable in polynomial time

NP-Hard: as hard as any problem in NP (via polytime reduction)

NP-Complete: NP-Hard and in NP

reduce  $b$  to  $a$ :  $b \rightarrow a$  in polytime + decide  $a$  yields answer for  $b$

$a$  hard by reduction from  $b$ : if  $b \rightarrow a$  in polytime and  $a$  were polytime, could solve  $b$ . so  $a$  must be hard!

# The Power of Reduction

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## NP-Completeness

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## SAT

Theorem: If  $B \leq_P A$  for some  $B \in \text{NPC}$ , then  $A$  is NP-Hard.

Proof: Since  $B$  is NPC, we have  $\forall C \in \text{NP}, C \leq_P B$ . Since  $B \leq_P A$ , then  $C \leq_P A$  which shows  $A$  is NP-Hard.

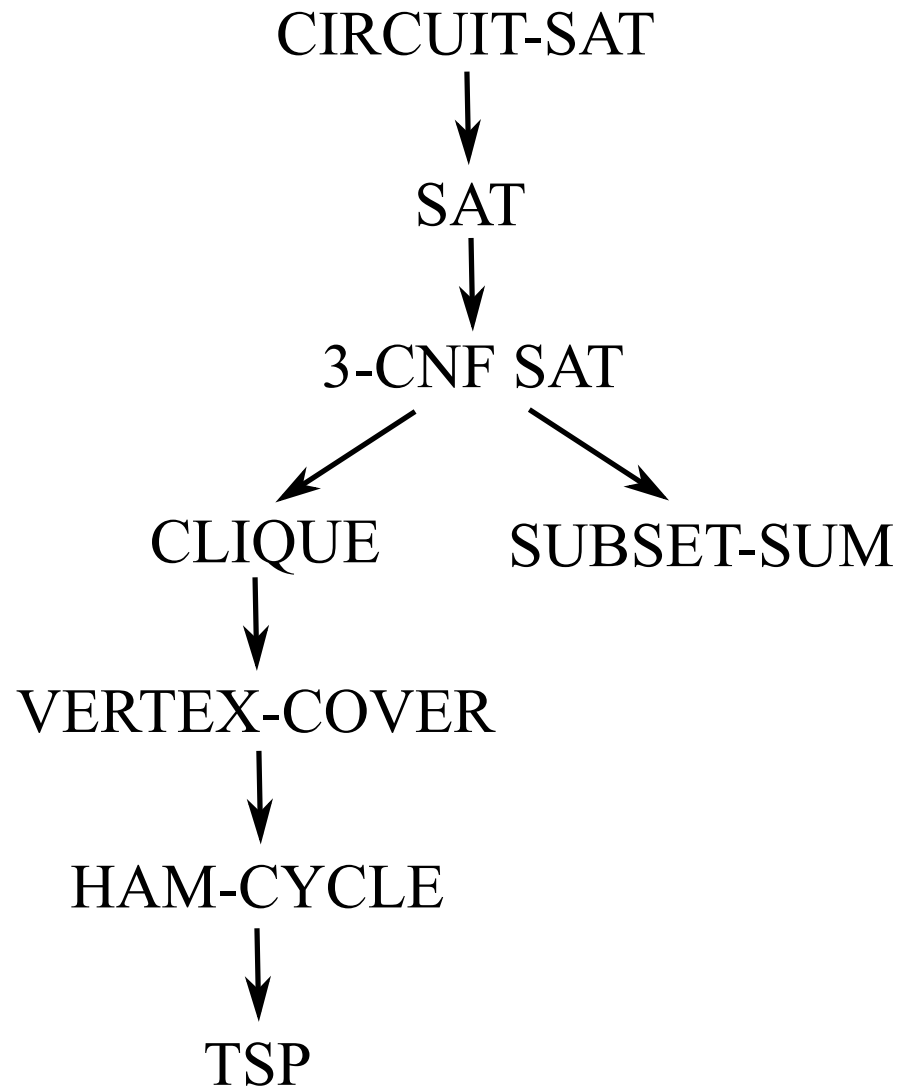
If also  $A \in \text{NP}$ , then since  $A \in \text{NP}$ , we have  $A \in \text{NPC}$ .

# Reductions

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# Framework for an NP-Completeness Proof

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## SAT

To prove some problem  $A$  is NP-Complete:

1. Prove  $A \in NP$
2. Pick a known NP-Complete problem  $B$
3. Find a translation of instances of  $B$  into instances of  $A$
4. Show that translated  $A$  version is accepted if and only if the original  $B$  version should be accepted.
5. Prove that the reduction runs in polynomial time.

# Circuit-SAT is in NP

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Circuit-SAT: is circuit satisfiable? (otherwise, can be removed)

Certificate is value for every wire.

Verify that each gate is computed correctly and output is true.

# Circuit-SAT is NP-Hard

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Need to construct reduction from any  $L \in \text{NP}$ . Given input  $x \in L$ , resulting circuit  $C \in \text{Circuit-SAT}$  iff  $x \in L$ . We'll make  $C$  so it's SAT iff  $\exists y$  s.t. verification algorithm  $A(x, y)$  for  $L$  gives true. Intuition: for input  $y$ , run  $A(x, y)$ .

Let  $n = |x|$  and  $T(n) = O(n^k)$  be bound on  $A$ 's running time. Let  $M$  be a circuit for a stored-program computer (including PC and storage). String  $T(n)$  of them together to form  $C'$ .  $C$  is  $C'$  with input hardwired to program for  $A$  and input  $x$ , and output hardwired to result of  $A$ . Input to  $C$  is  $y$ .

Iff  $y$  exists,  $C$  is satisfiable, so we have a reduction.

$A$  is constant size and uses poly storage.  $M$  is poly size and needs poly steps to run  $A$ .  $y$  is poly sized. So  $C'$  and  $C$  have size polynomial in  $n$  and can be constructed in polynomial time.



# Break

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## SAT

- asst 12
- Wildcard Vote!

## NP-Completeness

### SAT

- NPC Proofs
- Reduction
- 3-CNF SAT
- Reductions
- EOLQs

# SAT

# Framework for an NP-Completeness Proof

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NP-Completeness

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To prove some problem  $A$  is NP-Complete:

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# Reduction from Circuit-SAT to SAT

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■ EOLQs

Consider formula with  $n$  variables and  $m$  connectives.

SAT  $\in$  NP: given variables assignments, evaluate formula.

SAT is NP-Hard: Reduction from Circuit-SAT. Basic translation fails on shared subcircuits.

Instead, use one variable for each wire and one clause per gate.

Combine clauses with  $\wedge$  and include  $\wedge x_0$  (output).

SAT iff wires in circuit have legal values yielding true.

# 3-CNF SAT

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CNF where each clause has exactly 3 literals. Aka 3-SAT.

3-CNF SAT  $\in$  NP: given variables assignments, evaluate formula.

3-CNF SAT is NP-Hard: Reduction from SAT. Construct expression tree and convert to binary branching.

Assign each node a variable.

Form clause for each internal node's variable, eg:  $y_3 \leftrightarrow (y_1 \vee y_2)$

Clauses will have at most 3 literals.

Convert each clause to CNF: form complete truth table, form DNF for false rows, negate and push  $\neg$  inward (using DeMorgan) to get CNF

For each binary clause  $(l_1 \vee l_2)$ , convert to  $(l_1 \vee l_2 \vee p) \wedge (l_1 \vee l_2 \vee \neg p)$ .

For each unit clause  $(l)$ , convert to

$(l \vee p \vee q) \wedge (l \vee p \vee \neg q) \wedge (l \vee \neg p \vee q) \wedge (l \vee \neg p \vee \neg q)$ .

Each step preserves satisfiability and is polynomial time.

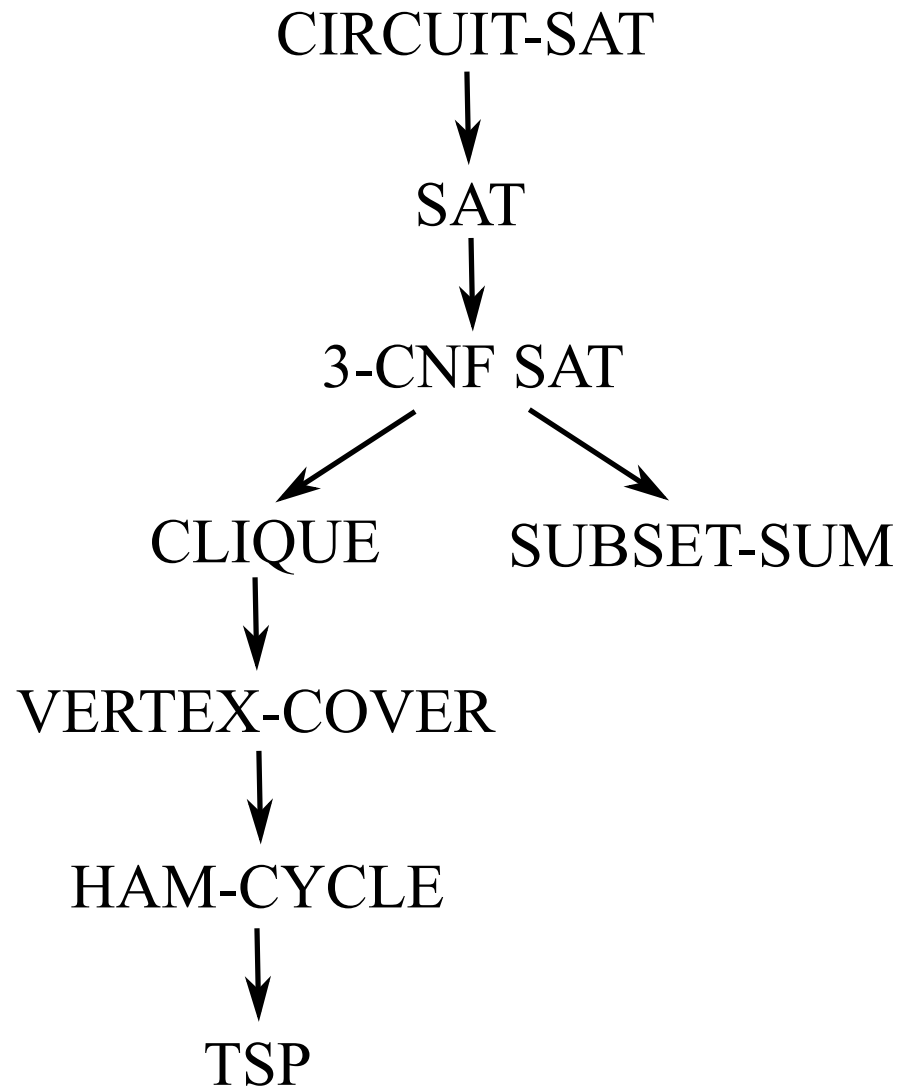
# Reductions

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## NP-Completeness

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For example:

- What's still confusing?
- What question didn't you get to ask today?
- What would you like to hear more about?

Please write down your most pressing question about algorithms and put it in the box on your way out.

*Thanks!*