

Algorithms

This Class

Sorting

Complexity

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<http://www.cs.unh.edu/~ruml/cs758>

4 handouts:
course info, schedule, slides, asst 1

online handouts: programming, functions

1 physical sign-up laptop (for grades, piazza)

Algorithms

- Algorithms Today
- Definition
- Why?
- The Word
- The Founder

This Class

Sorting

Complexity

Algorithms

Algorithms Today

Algorithms

■ Algorithms Today

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- web: search, caching, crypto
- networking: routing, synchronization, failover
- machine learning: data mining, recommendation, prediction
- bioinformatics: alignment, matching, clustering
- hardware: design, simulation, verification
- business: allocation, planning, scheduling
- finance: trading, credit scoring, fraud detection
- government: bail, sentencing, social scoring
- AI: robotics, games, language, vision

Definition

Algorithms

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Algorithm

- input into output
- precisely defined
- mechanical steps
- terminates

What might we want to know about it?

Why?

Algorithms

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Complexity

- Computer scientist \neq programmer
 - ◆ understand program behavior
 - ◆ have confidence in results, performance
 - ◆ know when optimality is abandoned
 - ◆ solve 'impossible' problems
 - ◆ sets you apart (eg, interviewing)

- CPUs aren't getting faster
- Devices are getting smaller
- Software is the differentiator
 - 'Software is eating the world' — Marc Andreessen, 2011
- Everything is computation

The Word: Abū ‘Abdallāh Muḥammad ibn Mūsā al-Khwārizmī

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780-850 AD

Born in Uzbekistan,
worked in Baghdad.

Solution of linear and
quadratic equations.

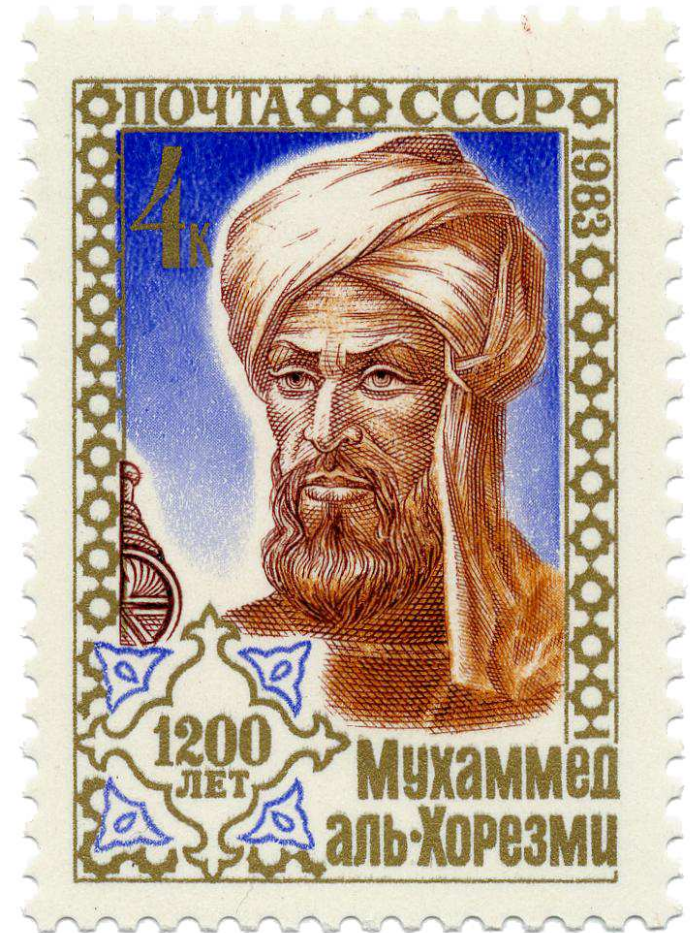
Founder of algebra.

Popularized arabic numerals,
decimal positional numbers

→ algorism (manipulating
digits)

→ algorithm.

*The Compendious Book on
Calculation by Completion
and Balancing, 830.*



The Founder: Donald E. Knuth

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invented algorithm analysis, O
*The Art of Computer
Programming*, vol. 1, 1968

developed T_EX,
literate programming

famous results, students
published in MAD magazine



Algorithms

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- Relations
- Topics
- Course Mechanics

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Relations

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- requires 531/659 (proofs), 515 (data structures), 420 (C)
some intentional overlap!
beware imposter syndrome
problems intentionally unpredigested
- central (required for BS CS and BS DS)
- same content both semesters
- continuous improvement!

Algorithms

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'Greatest Hits'

1. data structures: trees, tries, hashing
2. algorithms: divide-and-conquer, dynamic programming, greedy, graphs
3. correctness: invariants
4. complexity: time and space
5. NP-completeness: reductions

Not including

1. (much) computability
2. (many) randomized algorithms
3. parallel algorithms
4. distributed algorithms
5. numerical algorithms, eg: crypto, linear algebra
6. geometric algorithms
7. on-line or 'run forever' algorithms
8. fancy analysis

Course Mechanics

Algorithms

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- names → faces
- sign up sheet
- General information
 - ◆ contact, books, C, due dates, collaboration, piazza.com
- Schedule
 - ◆ wildcard slot
- Expectations
 - ◆ $50/4=12.5$; $50/3=16.7$
 - ◆ 2018: median 12, mean 12.8, stddev 5.5
 - ◆ 2023: median 16, mean 16.1, stddev 5.2
- Feedback is always needed and appreciated.
 - ◆ eg, EOLQs. Try coming to my office hours!

Algorithms

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- Sorting
- Counting Sort
- Correctness

Complexity

Sorting

Sorting

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■ Sorting

■ Counting Sort

■ Correctness

Complexity

- Bubble Sort
- Selection Sort
- Insertion Sort
- Shell Sort
- Merge Sort
- Heap Sort
- Quick Sort

How to sort one million records?

Sorting

Algorithms

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■ Sorting

■ Counting Sort

■ Correctness

Complexity

- Bubble Sort
- Selection Sort
- Insertion Sort
- Shell Sort
- Merge Sort
- Heap Sort
- Quick Sort

How to sort one million records?

How to sort one billion **16-bit integers**?

Sorting

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■ Sorting

■ Counting Sort

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Complexity

- Bubble Sort
- Selection Sort
- Insertion Sort
- Shell Sort
- Merge Sort
- Heap Sort
- Quick Sort

How to sort one million records?

How to sort one billion **16-bit integers**?

How to sort one trillion **4-bit integers**?

Counting Sort

Algorithms

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■ Sorting

■ Counting Sort

■ Correctness

Complexity

For n numbers in the range 0 to k :

1. for i from 0 to k
2. $\text{count}[i] \leftarrow 0$
3. for each input number x
4. increment $\text{count}[x]$
5. for i from 0 to k
6. do $\text{count}[i]$ times
7. emit i

Counting Sort

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Correctness?

Complexity?

Correctness

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property 1: output is in sorted order

proof sketch: output loop increments i , never decrements

Correctness

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■ Correctness

Complexity

property 1: output is in sorted order

proof sketch: output loop increments i , never decrements

property 2: output contains same numbers as input

invariant:

Correctness

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Complexity

property 1: output is in sorted order

proof sketch: output loop increments i , never decrements

property 2: output contains same numbers as input

invariant: for each value,

remaining input + sum of counts = total

proof sketch:

Correctness

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Complexity

property 1: output is in sorted order

proof sketch: output loop increments i , never decrements

property 2: output contains same numbers as input

invariant: for each value,

remaining input + sum of counts = total

proof sketch:

initialized/established: before line 3

maintained: through lines 3–4

at termination: no remaining input by line 5

each number printed count times

therefore, output has same numbers as input

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- Counting Sort
- Complexity
- Counting Sort
- Order Notation
- $O()$
- Examples
- And Friends
- Asymptotics
- EOLQs

Complexity

Counting Sort

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6. do $\text{count}[i]$ times
7. emit i

Correctness? Yes.

Complexity?

Complexity

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RAM model: no cache
order of growth
worst-case

[try with previous slide]

Counting Sort

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For n numbers in the range 0 to k :

1. for x from 0 to k $O(k)$
2. $\text{count}[x] \leftarrow 0$
3. for each input number x $O(n)$
4. increment $\text{count}[x]$
5. for x from 0 to k $O(k)$ times around loop
6. do $\text{count}[x]$ times iterates $O(n)$ times total
7. emit x $O(1)$ each time

$$O(k + n + k + n) = O(2n + 2k) = O(n + k) \neq O(n \lg n)$$

Order Notation

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ignore constant factors

ignore 'start-up costs'

upper bound

Order Notation

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■ $O()$

■ Examples

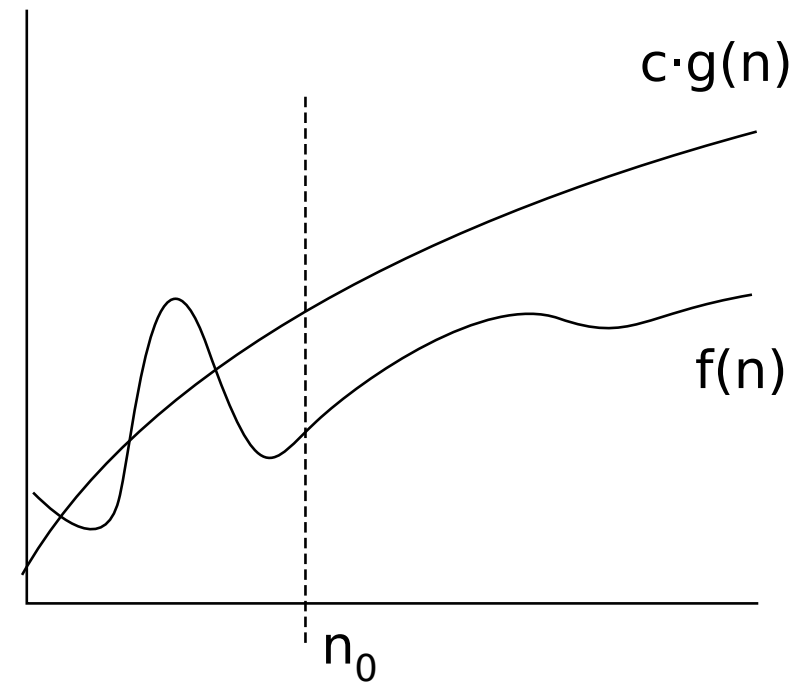
■ And Friends

■ Asymptotics

■ EOLQs

ignore constant factors
ignore 'start-up costs'
upper bound

$$f(n) = O(g(n))$$



eg, running time is $O(n \log n)$

$$O(g(n)) = \{f(n) : \text{there exist positive constants } c, n_0 \text{ such that } f(n) \leq cg(n) \text{ for all } n \geq n_0\}$$

We can upper-bound (the tail of) f by scaling up g .

Note non-transitive use of $=$. Pronounced 'is'.

Eg:

1. $0.002x^2 - 35,456x + 2^{80}$
2. $O(n^2)$ vs $O(n^3)$
3. $O(2^n)$ vs $O(3^n)$
4. $O(2^n)$ vs $O(2^{n+2})$ vs $O(2^{2n})$ vs $O(n^n)$

"What is n ?"

Examples

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- **Examples**
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is $n^3 = O(n^2)$

$0.2x^2 - 456x + 2^{20}$

$10n^2 + 5n$

$O(n^2)$ vs $O(n^3)$

And Friends

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Upper bound ('order of'):

$$O(g(n)) = \{f(n) : \text{there exist positive constants } c, n_0 \text{ such that } f(n) \leq cg(n) \text{ for all } n \geq n_0\}$$

Lower bound:

$$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c, n_0 \text{ such that } cg(n) \leq f(n) \text{ for all } n \geq n_0\}$$

Tight bound:

$$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, n_0 \text{ such that } c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$$

Asymptotics

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Upper bound ('dominated by'):

$$o(g(n)) = \left\{ f(n) : \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \right\}$$

Lower bound ('dominates'):

$$\omega(g(n)) = \left\{ f(n) : \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \right\}$$

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- What's still confusing?
- What question didn't you get to ask today?
- What would you like to hear more about?

Please write down your most pressing question about algorithms and put it in the box on your way out.

Thanks!