

Real-time Planning as Decision-making Under Uncertainty

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What is Planning?

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■ Heuristic Search

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my work: improve real-time planning using ideas from decision-making!

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planning is a way of finding a sequence of actions that accomplish some objective

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planning is a way of finding a sequence of actions that accomplish some objective

one method of planning: heuristic search!

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planning is a way of finding a sequence of actions that accomplish some objective

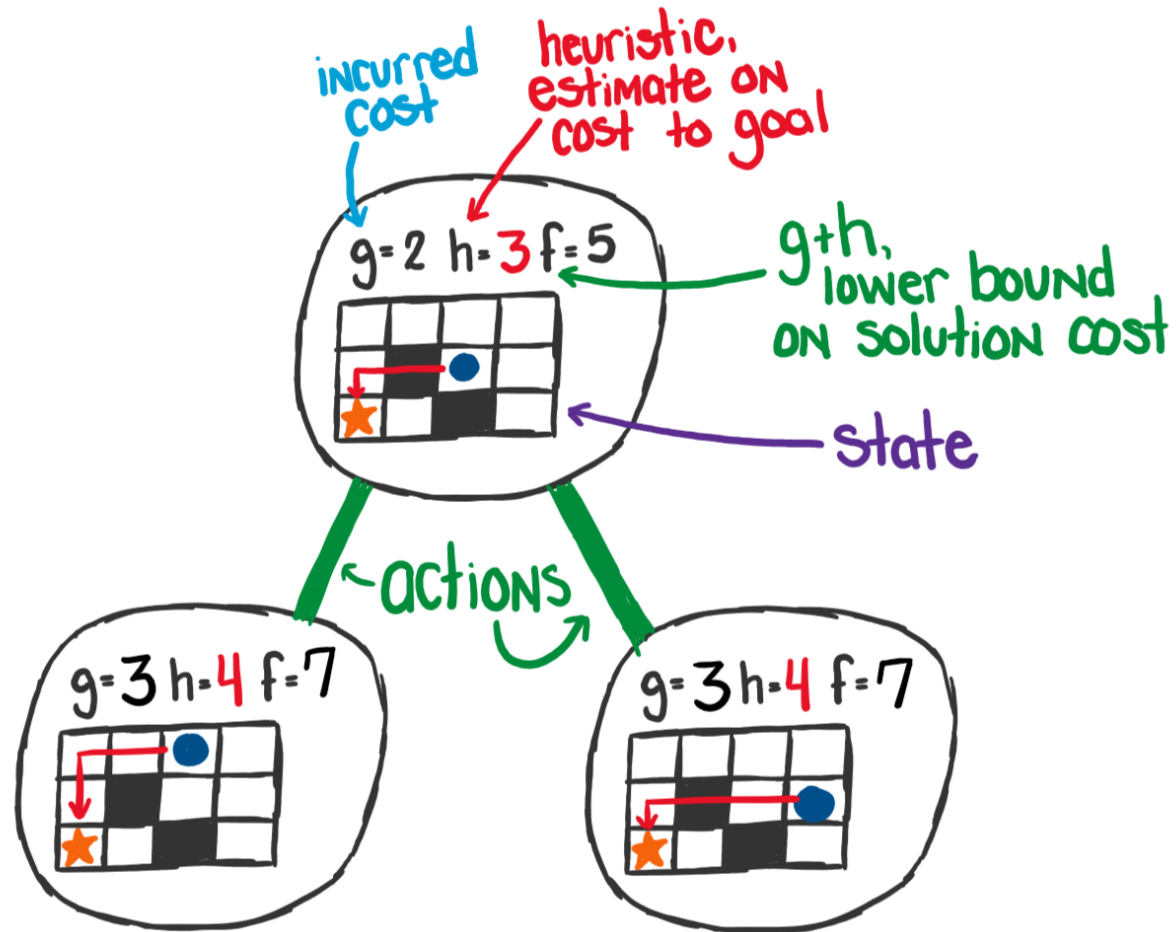
one method of planning: heuristic search!

heuristic search:

agent tasked with reaching a specific state
accomplished by searching graph of states + actions

Heuristic Search

heuristic search associates costs with states,
used to guide search



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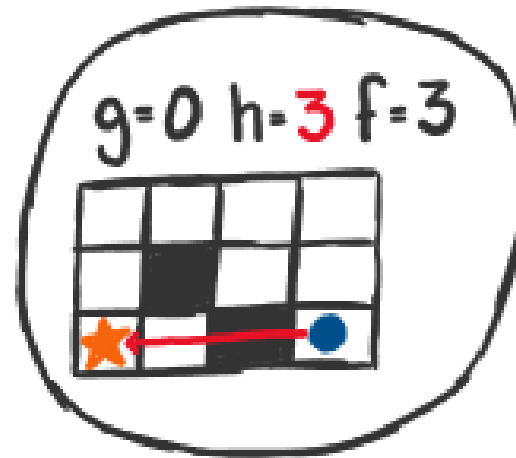
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Heuristic Search Example: A*

want blue dot to be where star is



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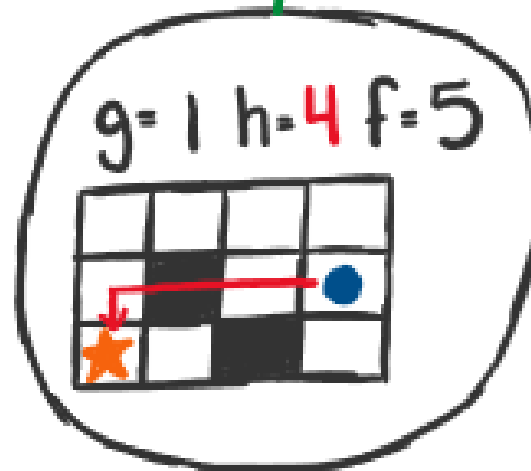
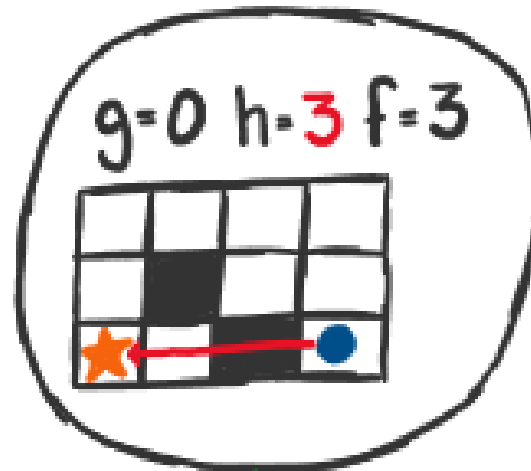
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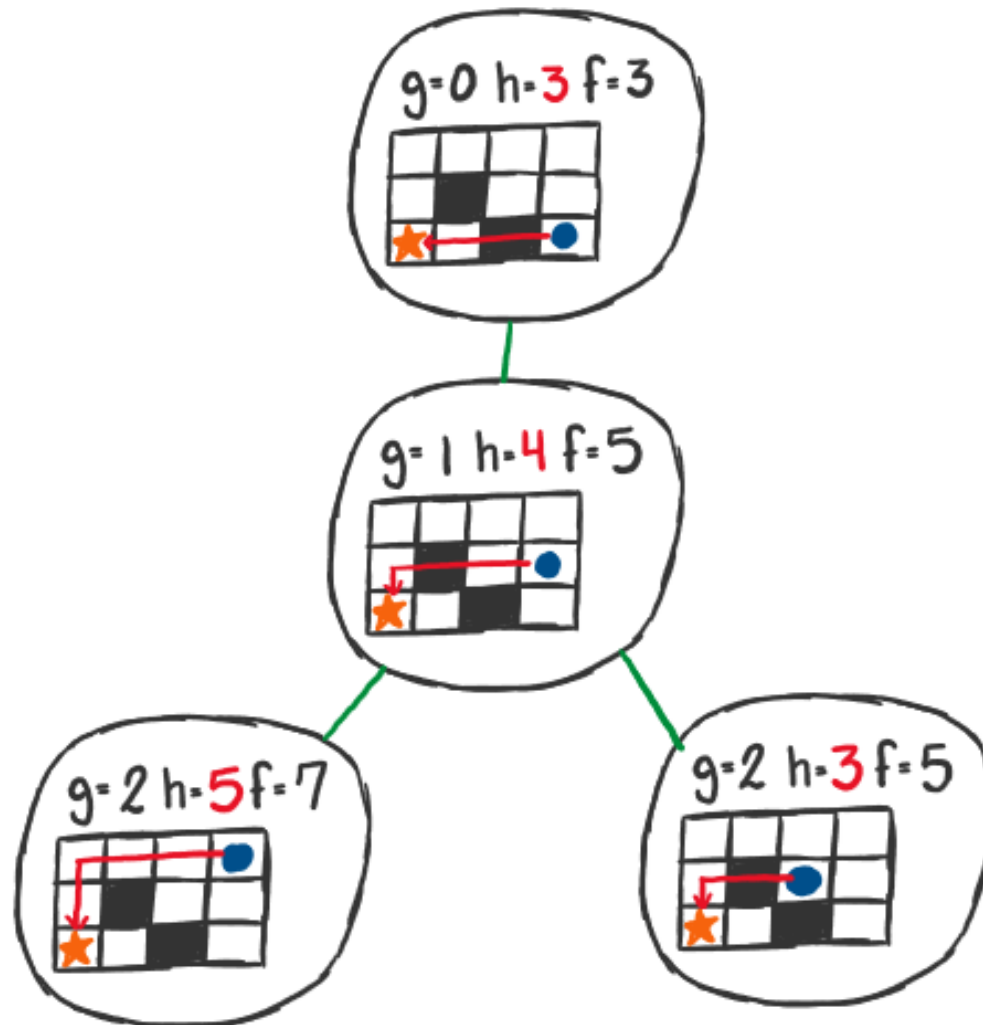
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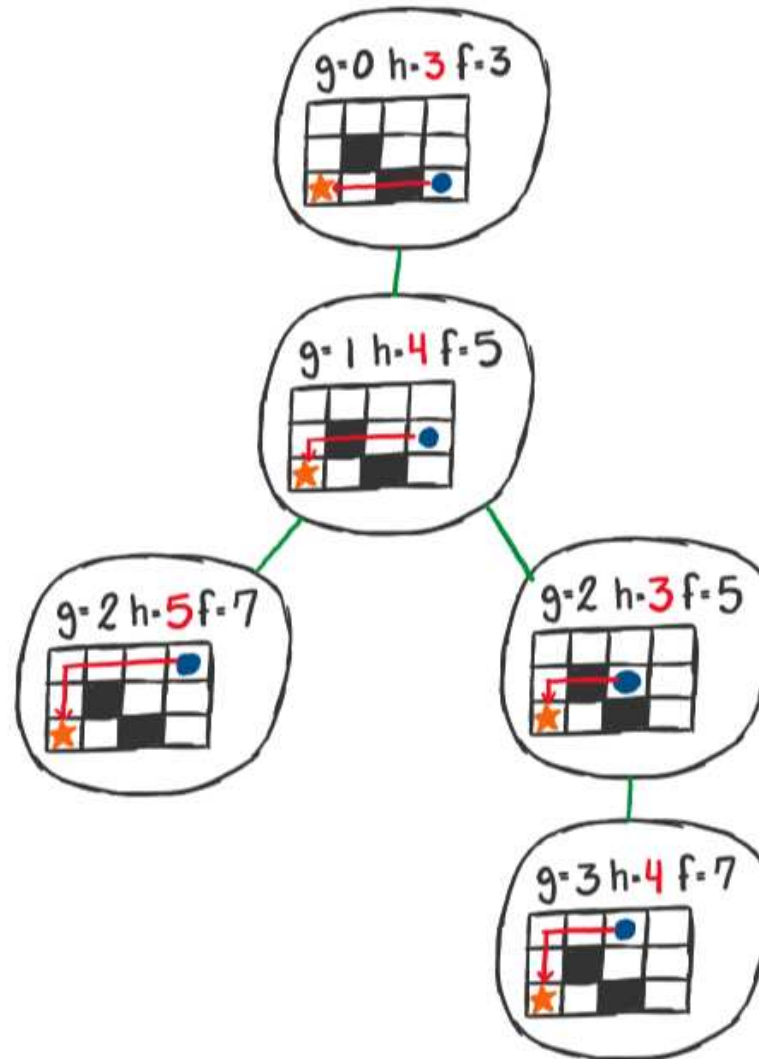
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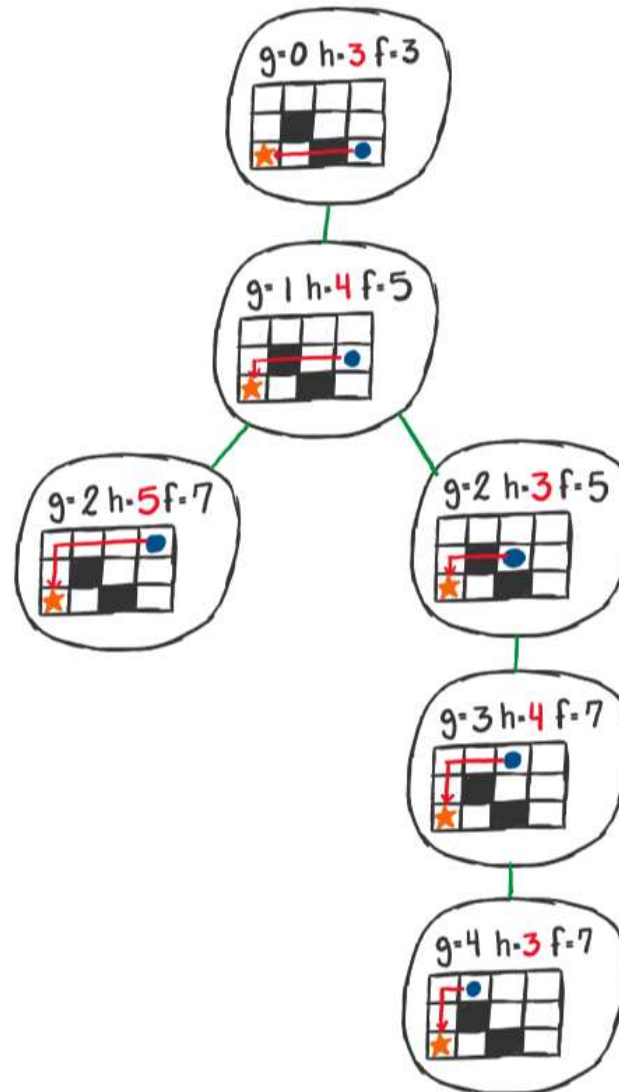
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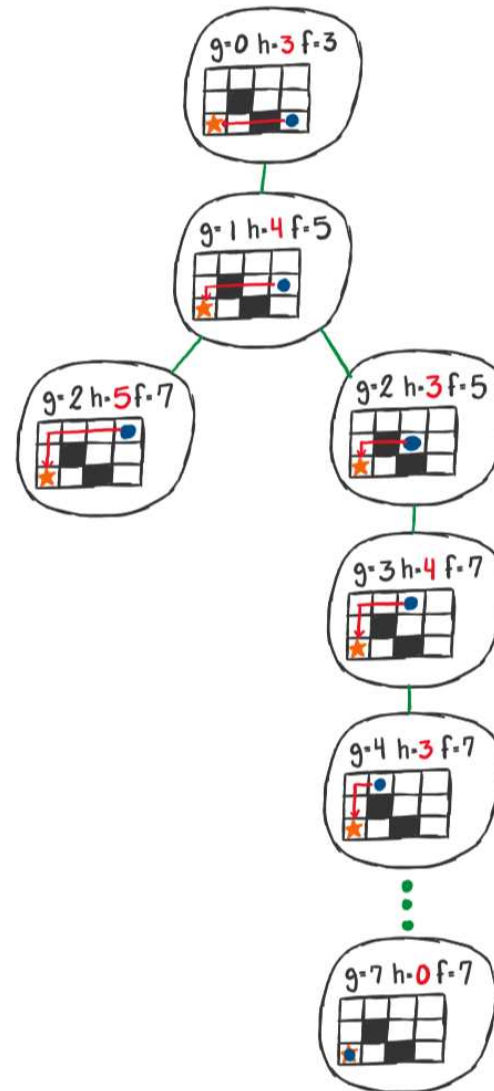
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A*: expands nodes with minimal f value
returns optimal path
optimal search can take too long!

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alternatives to optimal search?

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alternatives to optimal search?
real-time heuristic search!

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(Image credit: Bence Cserna)
agent performs search for a limited time

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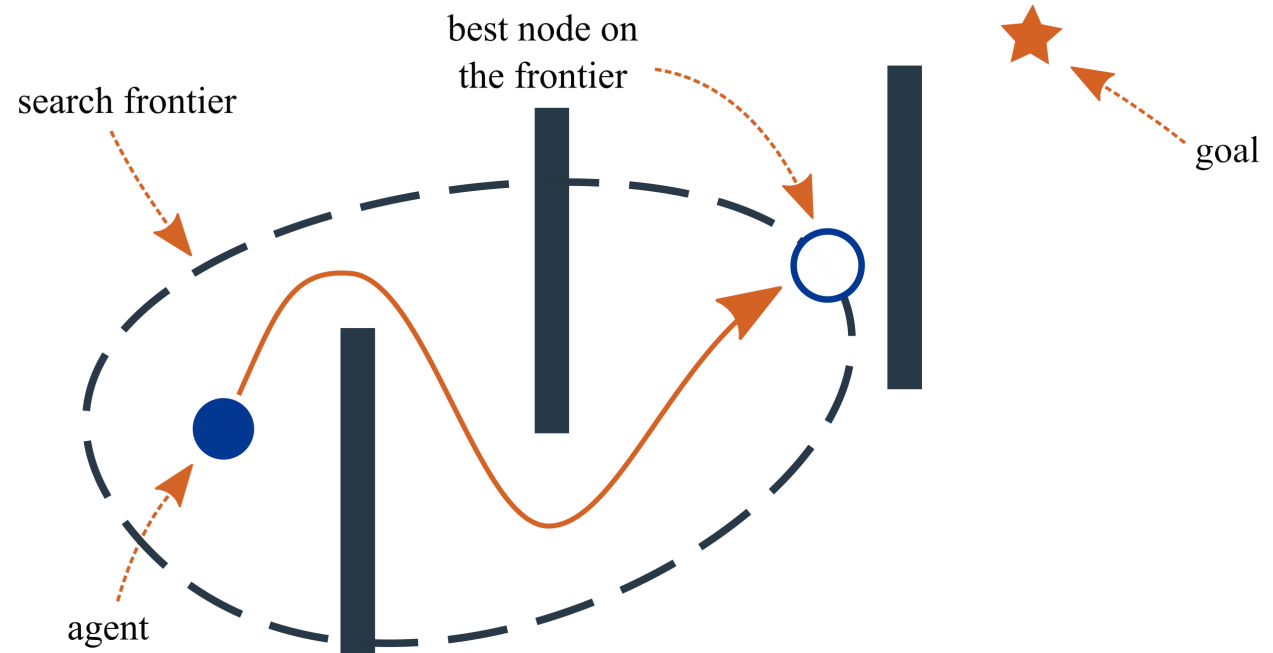
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(Image credit: Bence Cserna)

agent commits to a path to frontier and executes

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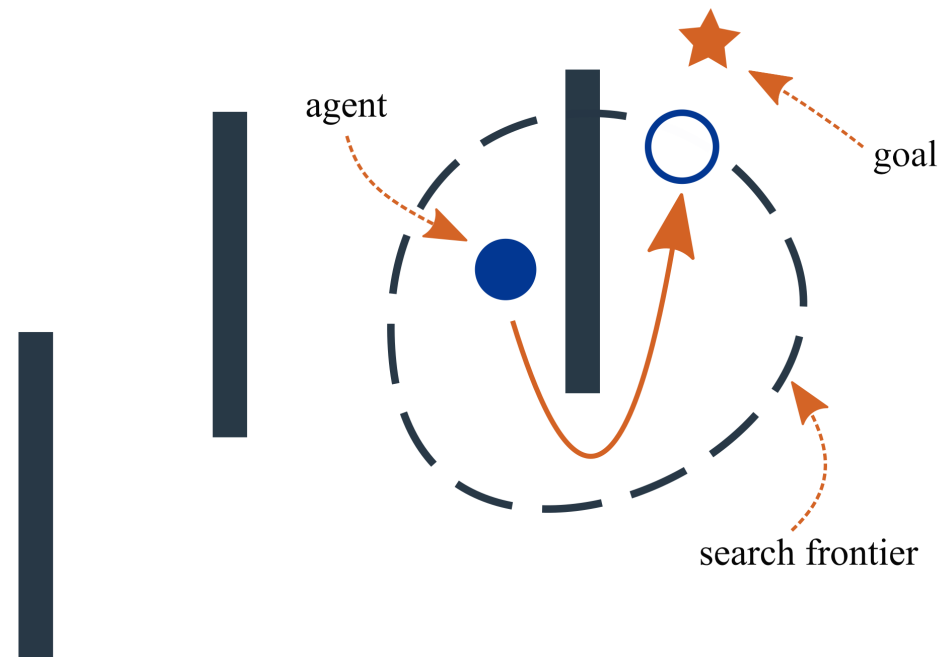
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(Image credit: Bence Cserna)

agent continues interleaving search and path execution

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can be broken down into three phases...

1. Expansion Phase:
expands nodes to explore the search space

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can be broken down into three phases...

1. Expansion Phase:
expands nodes to explore the search space
2. Decision-making Phase:
amasses information on search frontier (backup rules)
uses information to select an action to execute

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can be broken down into three phases...

1. Expansion Phase:
expands nodes to explore the search space
2. Decision-making Phase:
amasses information on search frontier (backup rules)
uses information to select an action to execute
3. Learning Phase:
learns heuristic values

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1. Expansion Phase:
expands nodes to explore the search space
2. Decision-making Phase:
amasses information on search frontier (backup rules)
uses information to select an action to execute
3. Learning Phase:
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Will focus on the first two stages!

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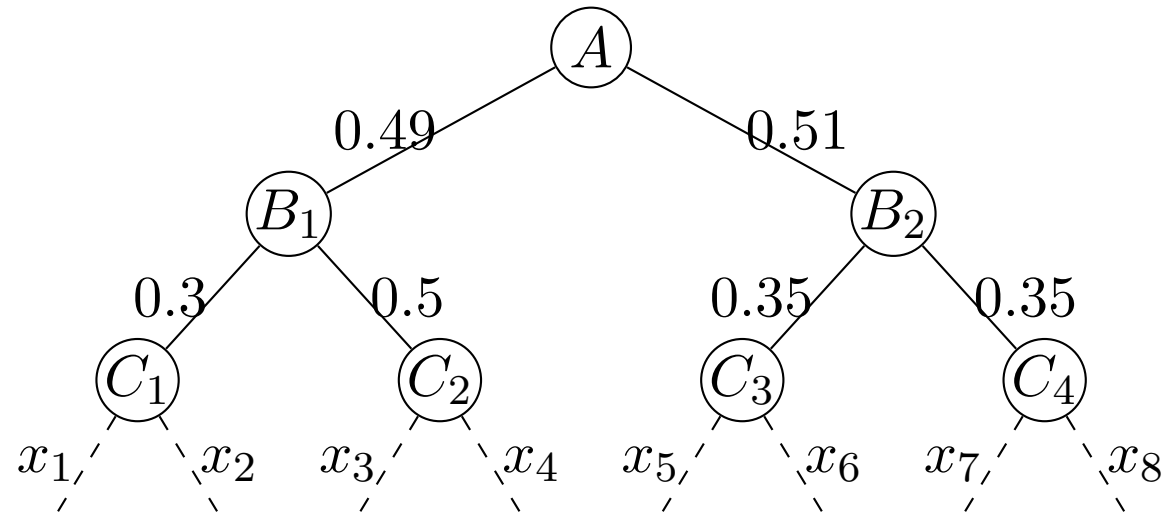
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Should an agent at A move to B_1 or B_2 ?
(x_i are unknown but independent and identically distributed)

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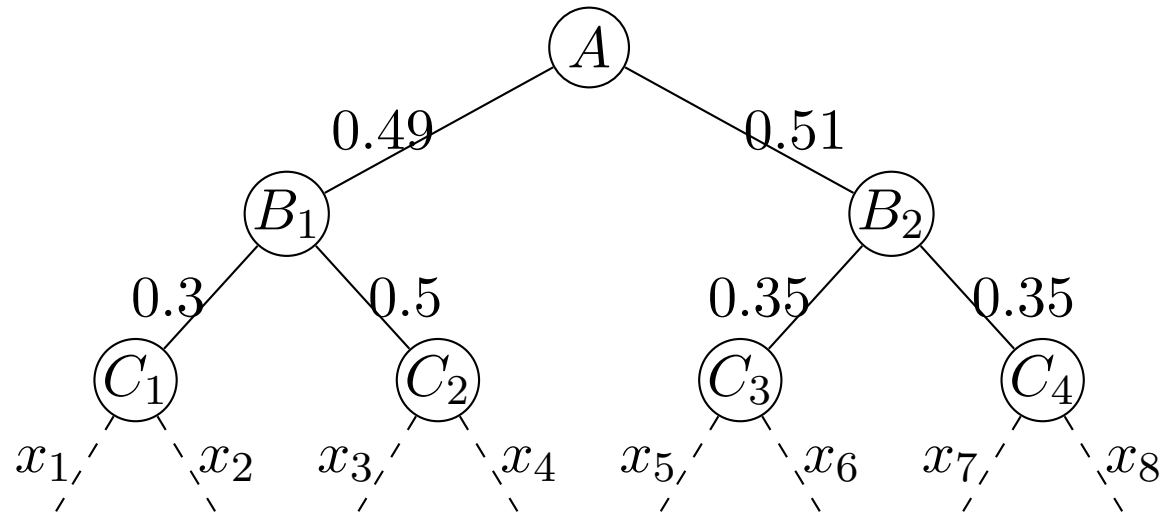
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Should an agent at A move to B_1 or B_2 ?
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 $f = g + h = g + 0$ is lower bound on optimal plan cost

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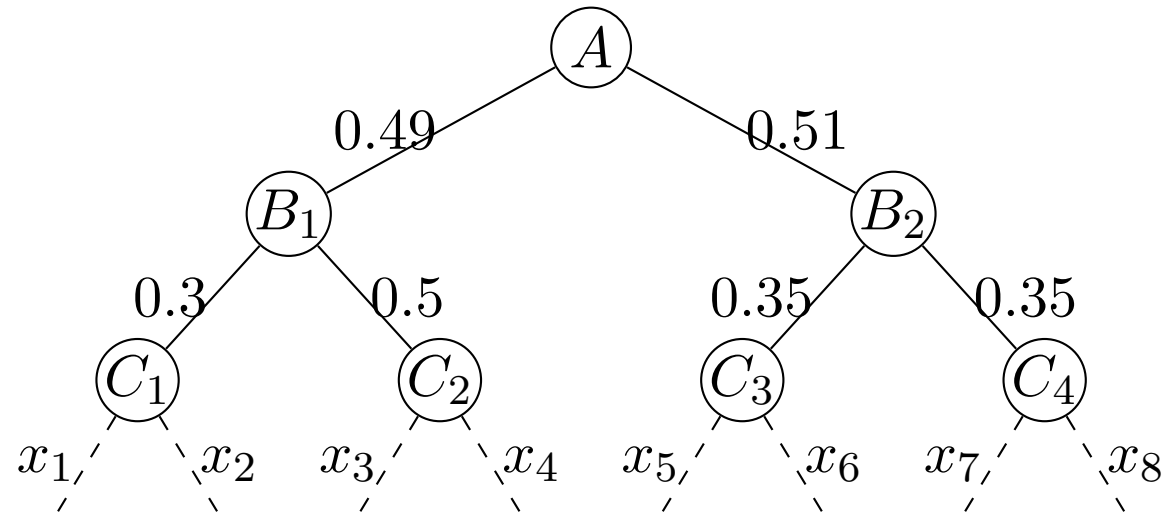
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f is not the answer: need statistical perspective

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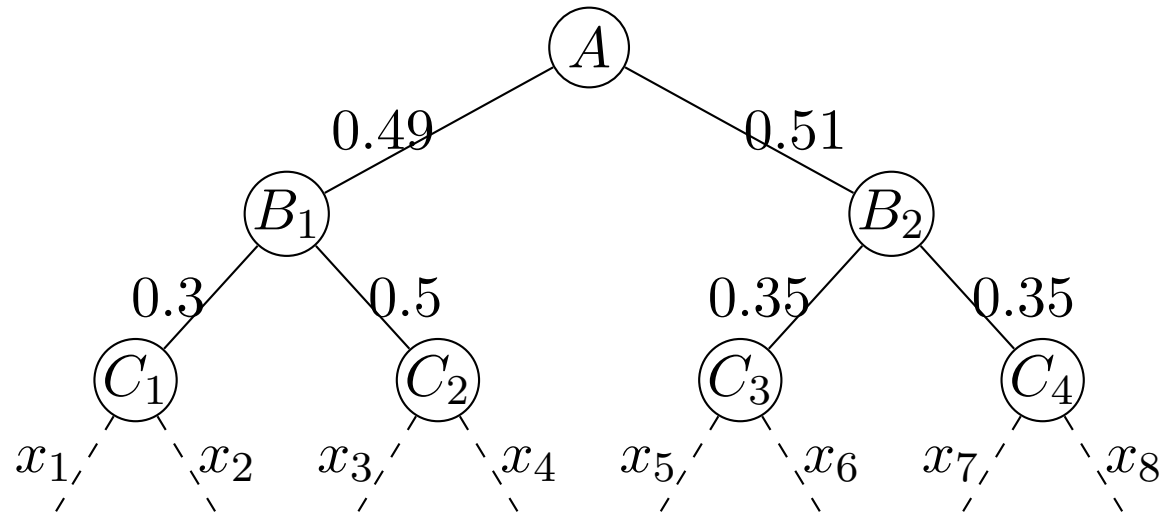
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(x_i are unknown but independent and identically distributed)

$f = g + h = g + 0$ is lower bound on optimal plan cost

f is not the answer: need statistical perspective

decision theory gives us principle of rationality:

should minimize expected value!

Which Nodes to Expand?

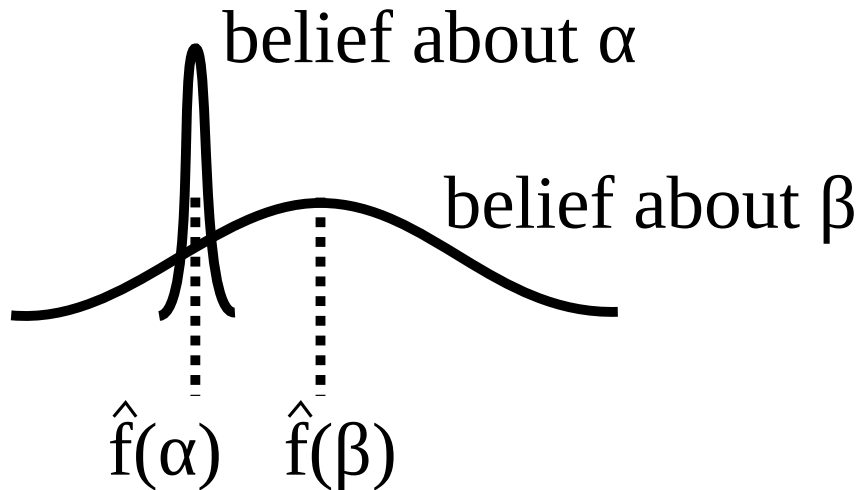
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(\hat{f} is expected value)
($\hat{f} = f + \epsilon * d$)

Should an agent expand nodes under α or β ?

Which Nodes to Expand?

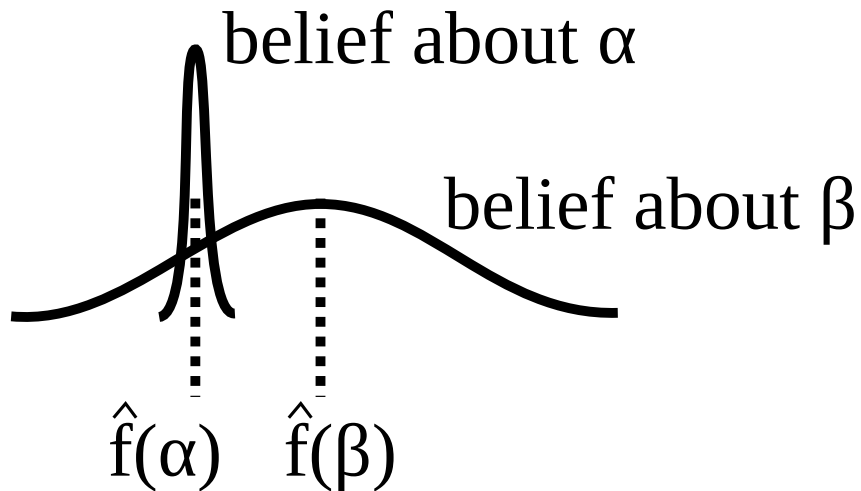
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(\hat{f} is expected value)
($\hat{f} = f + \epsilon * d$)

Should an agent expand nodes under α or β ?

\hat{f} is not the answer: what to do?

The Two Central Questions in Real-time Planning

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1. Which action to select?
minimum \hat{f} by principle of rationality

The Two Central Questions in Real-time Planning

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1. Which action to select?
minimum \hat{f} by principle of rationality
2. How to backup from frontier?
minimin optimal for deterministic (A^*)
Bellman optimal for stochastic (VI)
what about online?

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this work: **a practical investigation of the two questions from the perspective of decision-making under uncertainty.**

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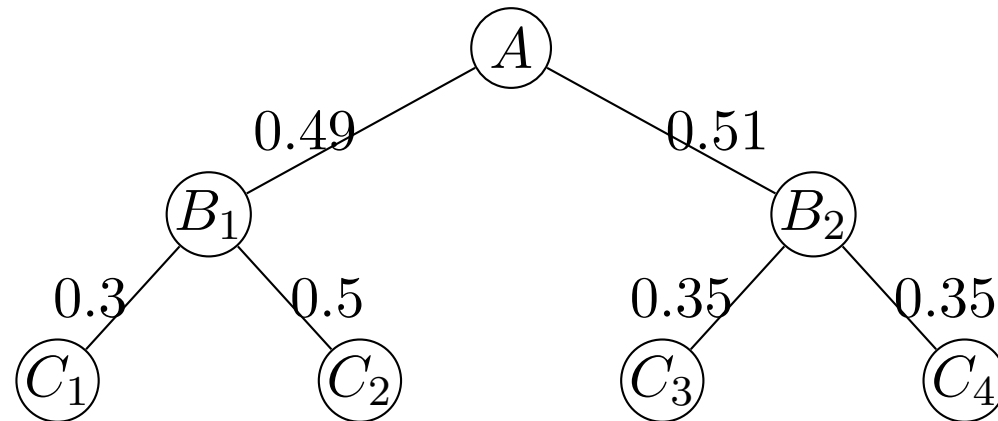
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heuristics are inaccurate/uncertain estimates on cost to goal
due to unexplored state space
true heuristic could be much higher!
view heuristics as distribution over potential values
distributions centered about expected value
assume most accurate heuristics on the frontier



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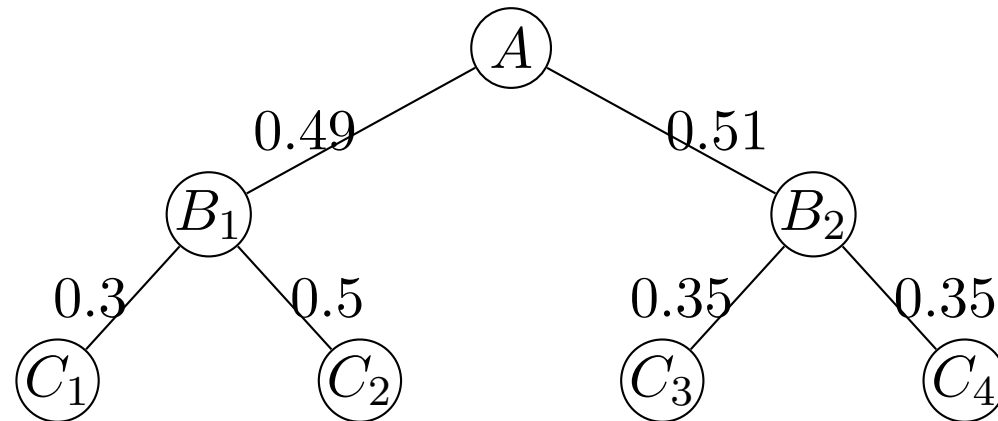
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assume most accurate heuristics on the frontier



how to form beliefs?

how to gather information from the search frontier?

Backup Rules (1/5): Minimin

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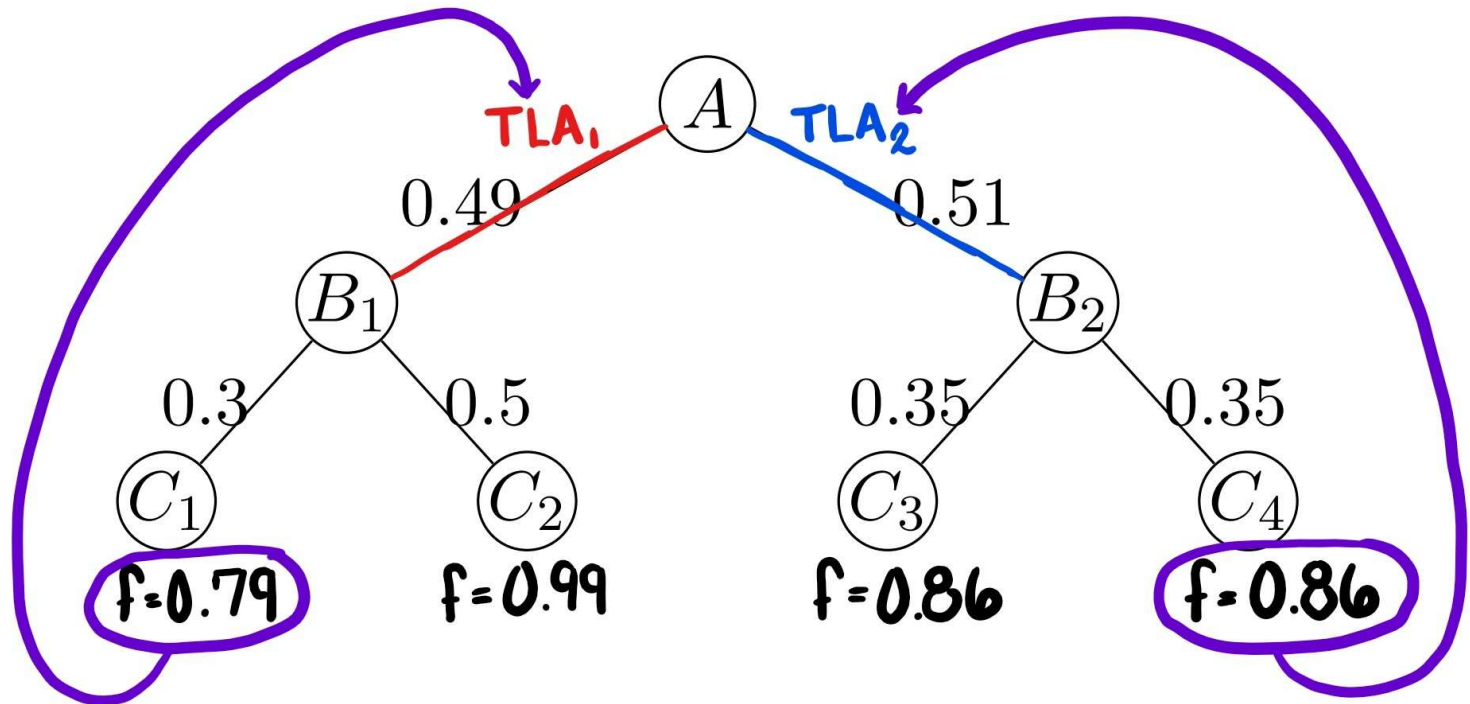
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Minimin:

parent \leftarrow minimum f among successors

lower bound: **not suitable for rational action selection**

Backup Rules (2/5): Bellman

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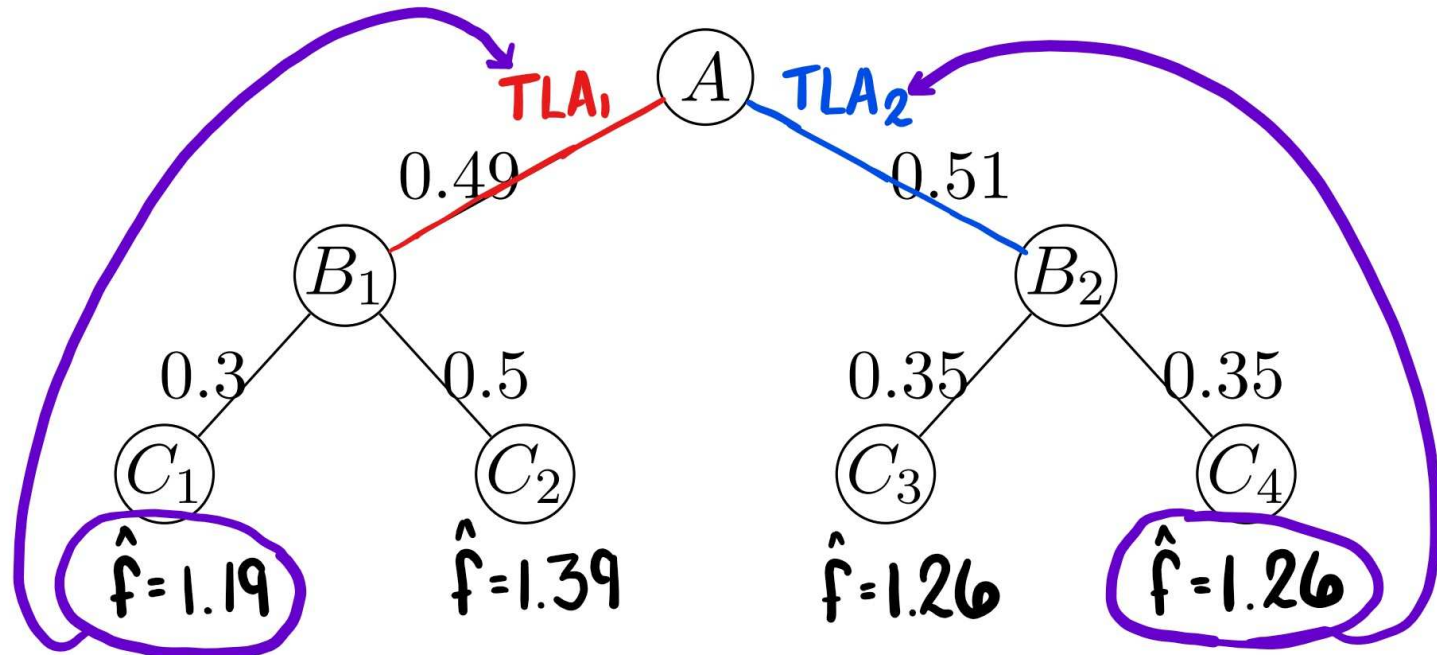
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Bellman:

parent \leftarrow minimum \hat{f} among successors

only conveys a scalar value

Backup Rules (3/5): Nancy

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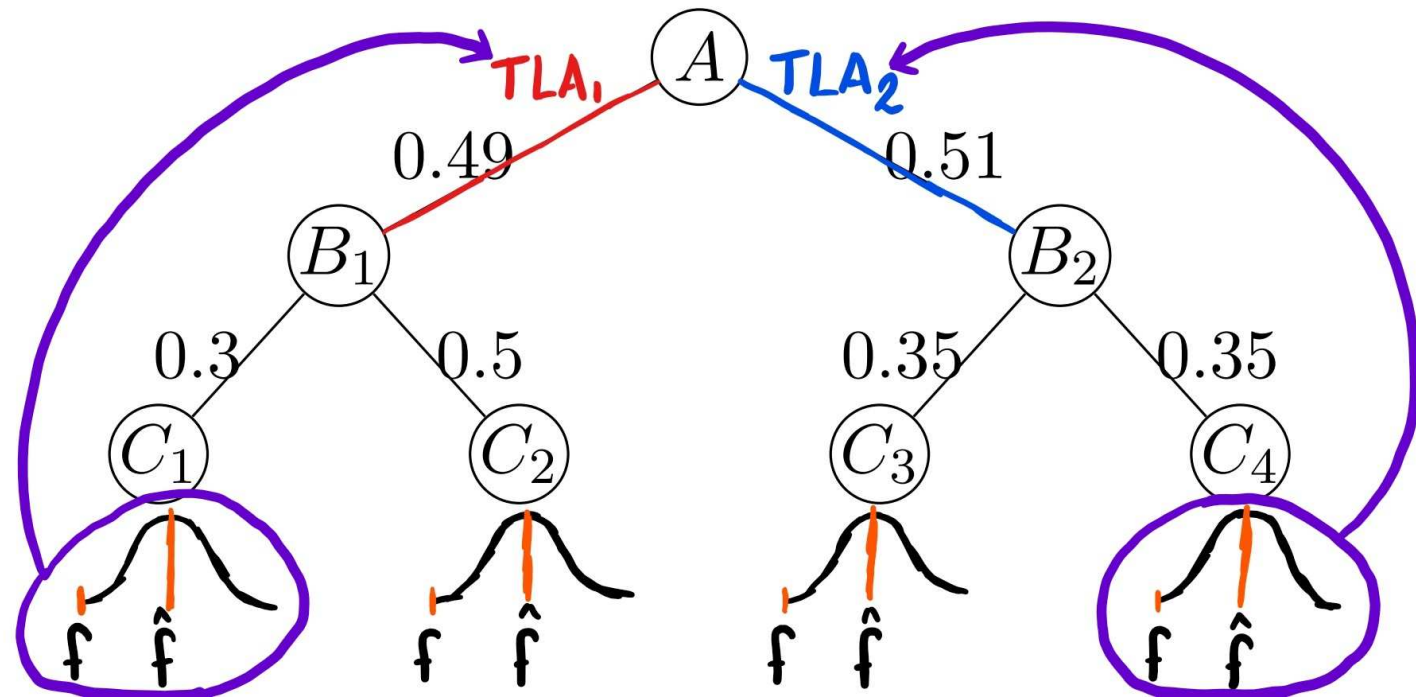
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Nancy (new!):

parent \leftarrow belief with minimum \hat{f} among successors

conveys an entire belief distribution

Backup Rules (4/5): Cserna Example

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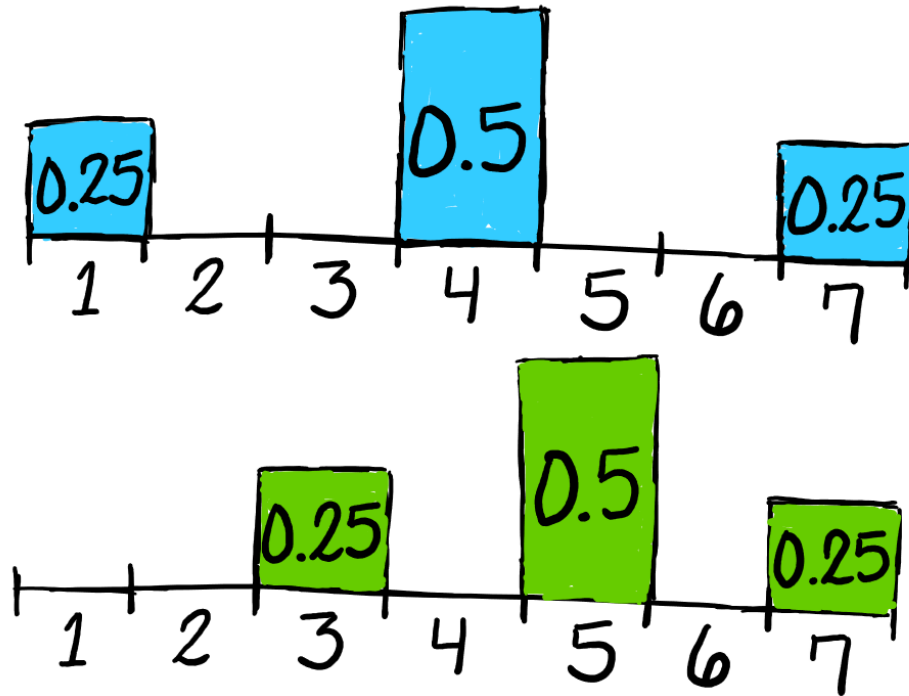
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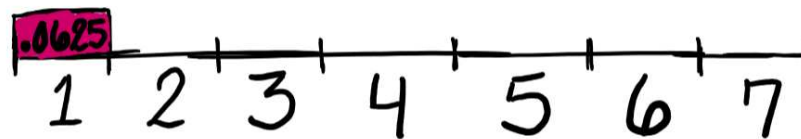
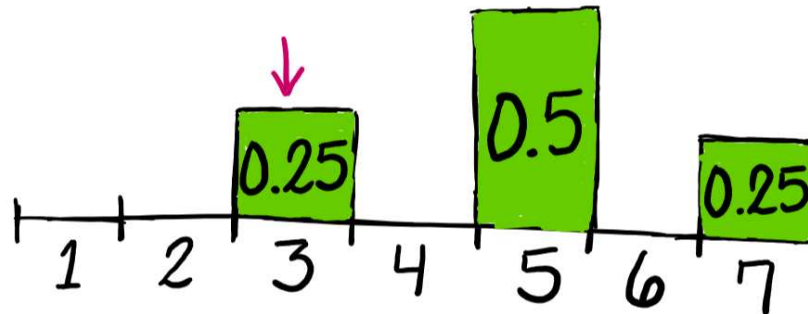
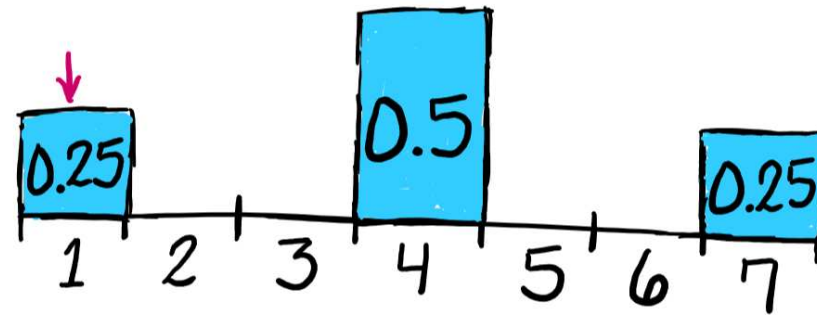
two beliefs on the frontier



how to obtain belief at TLA?

Backup Rules (4/5): Cserna Example

multiply probabilities and add to minimum value



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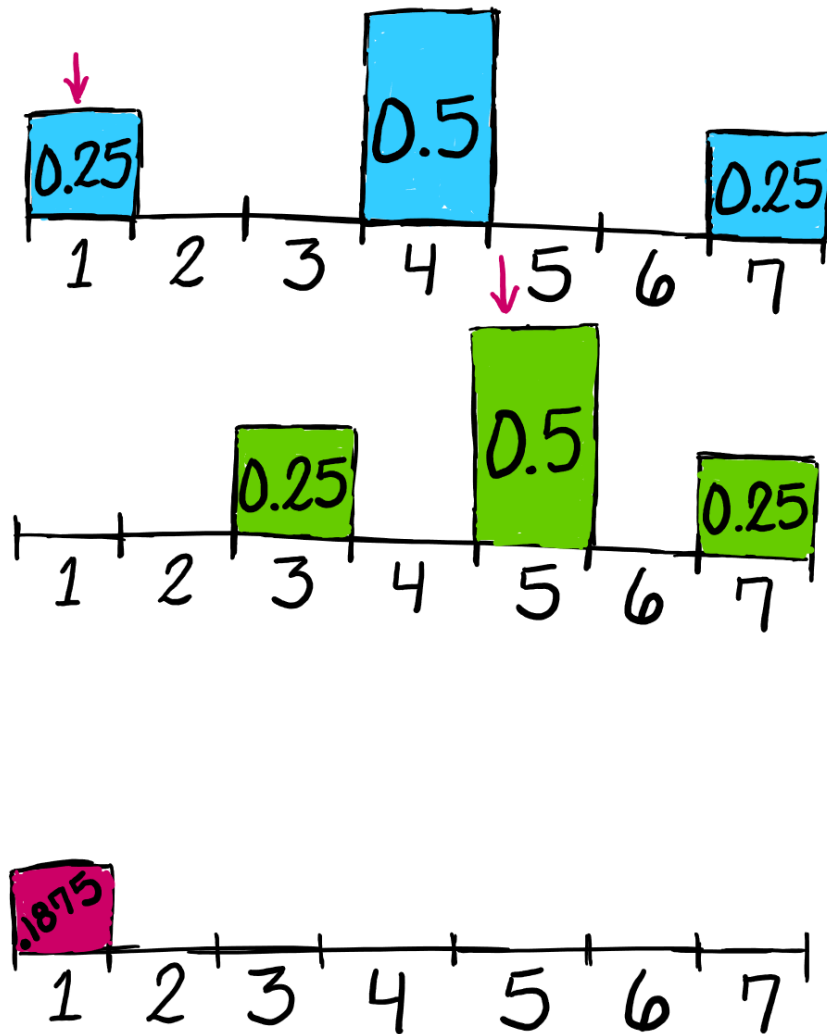
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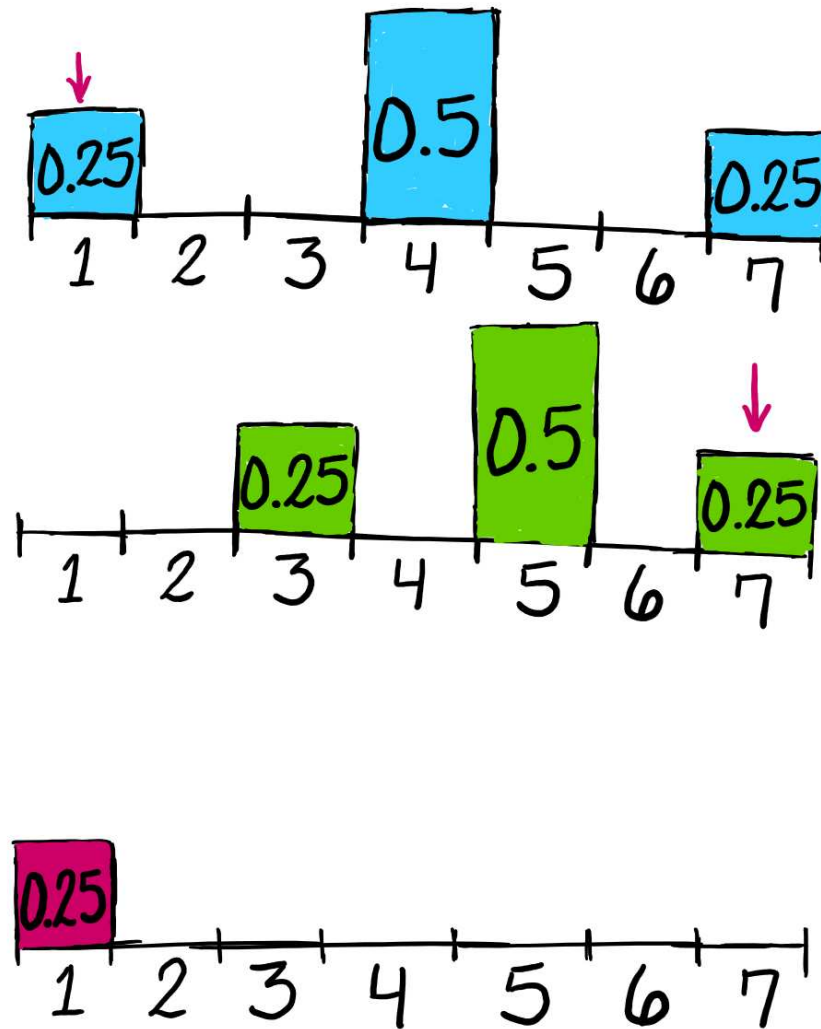
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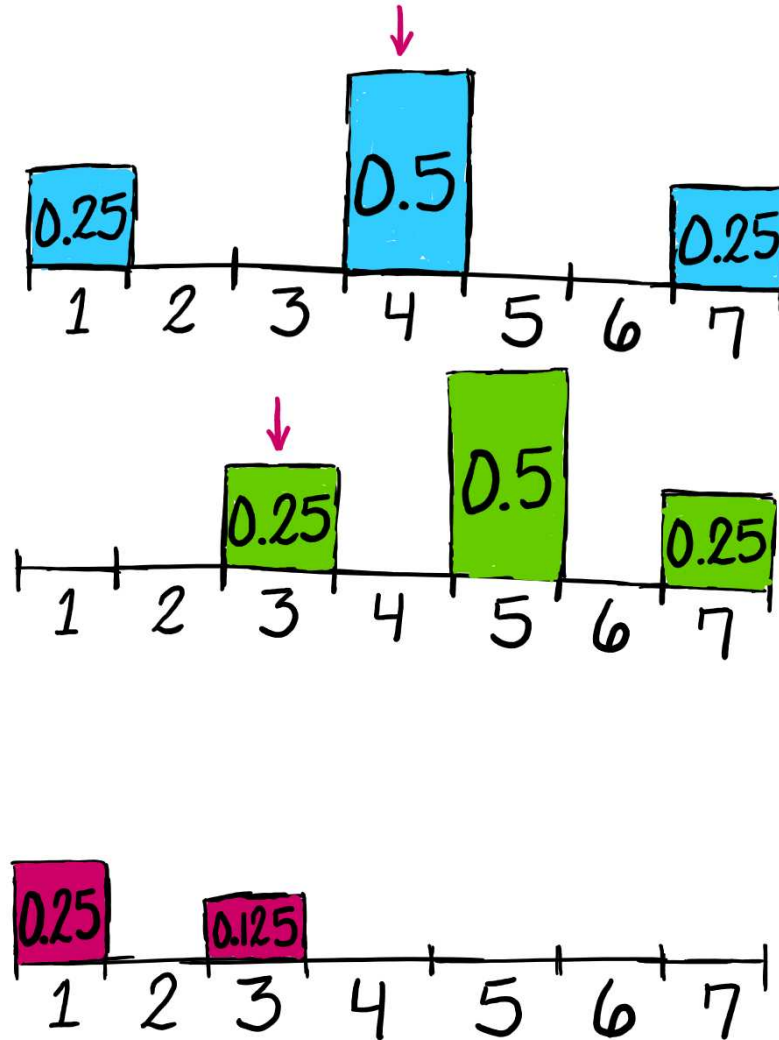
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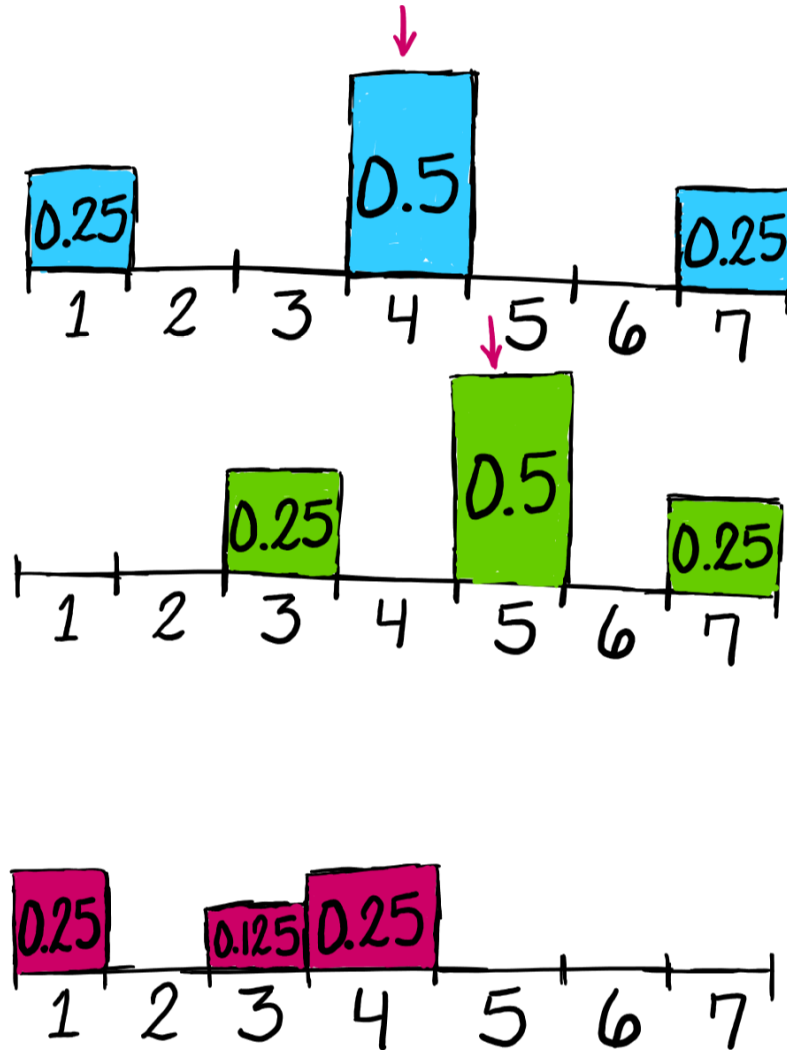
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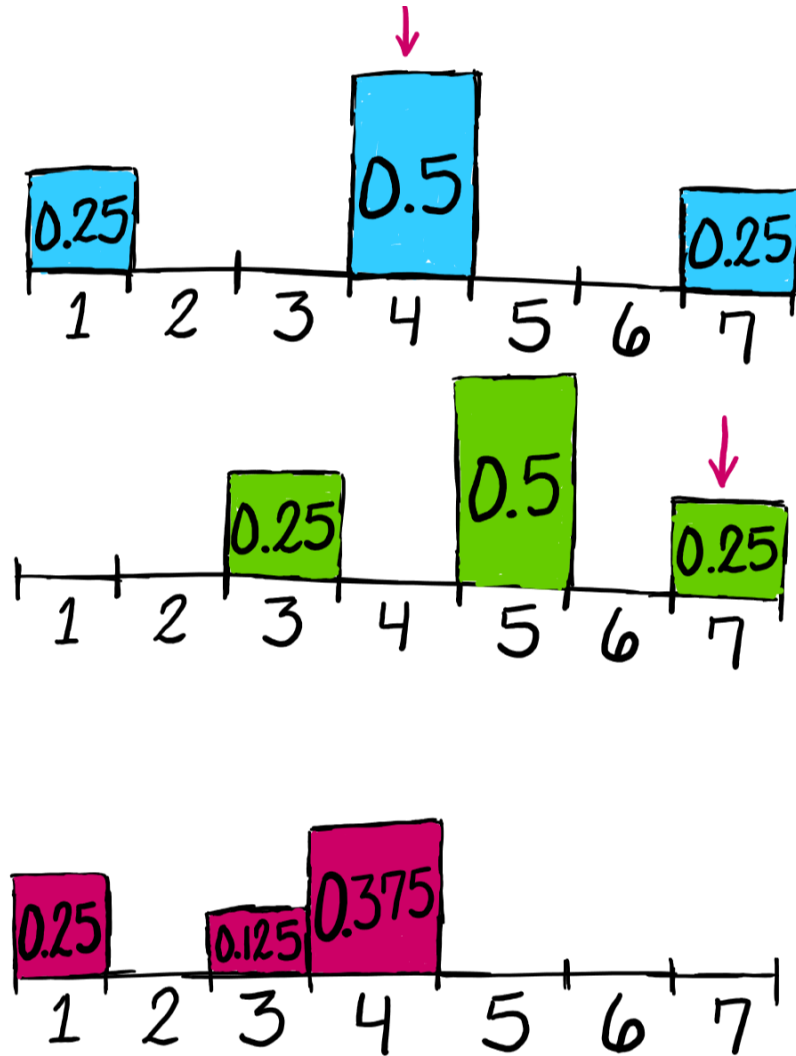
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multiply probabilities and add to minimum value



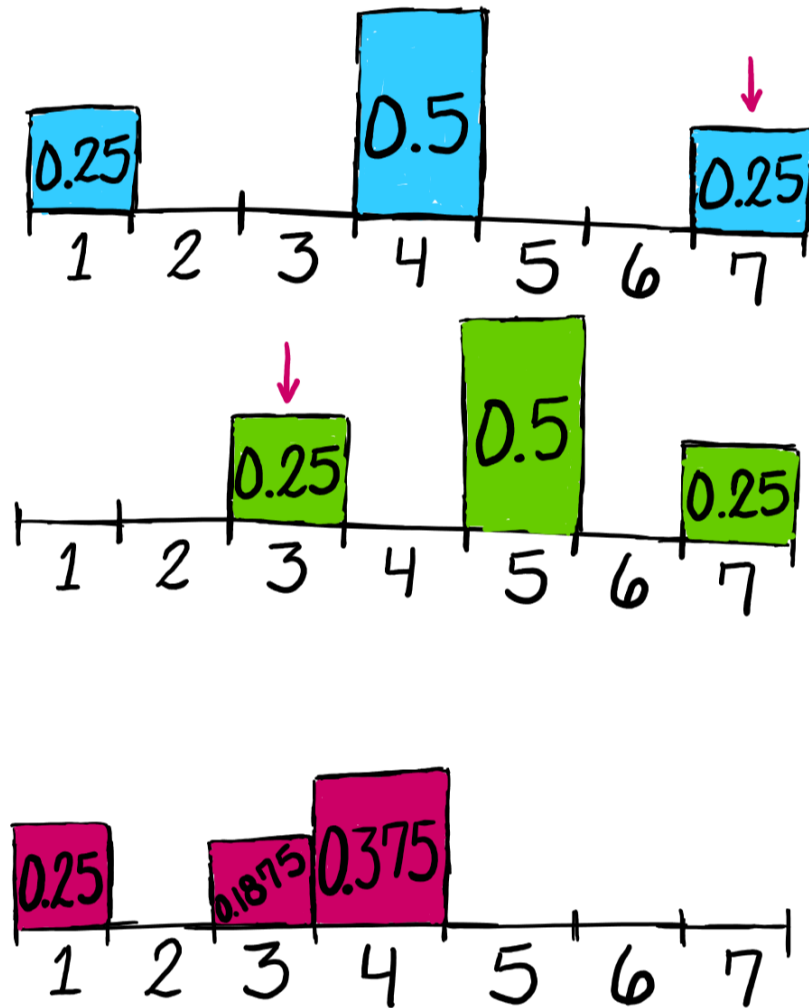
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Backup Rules (4/5): Cserna Example

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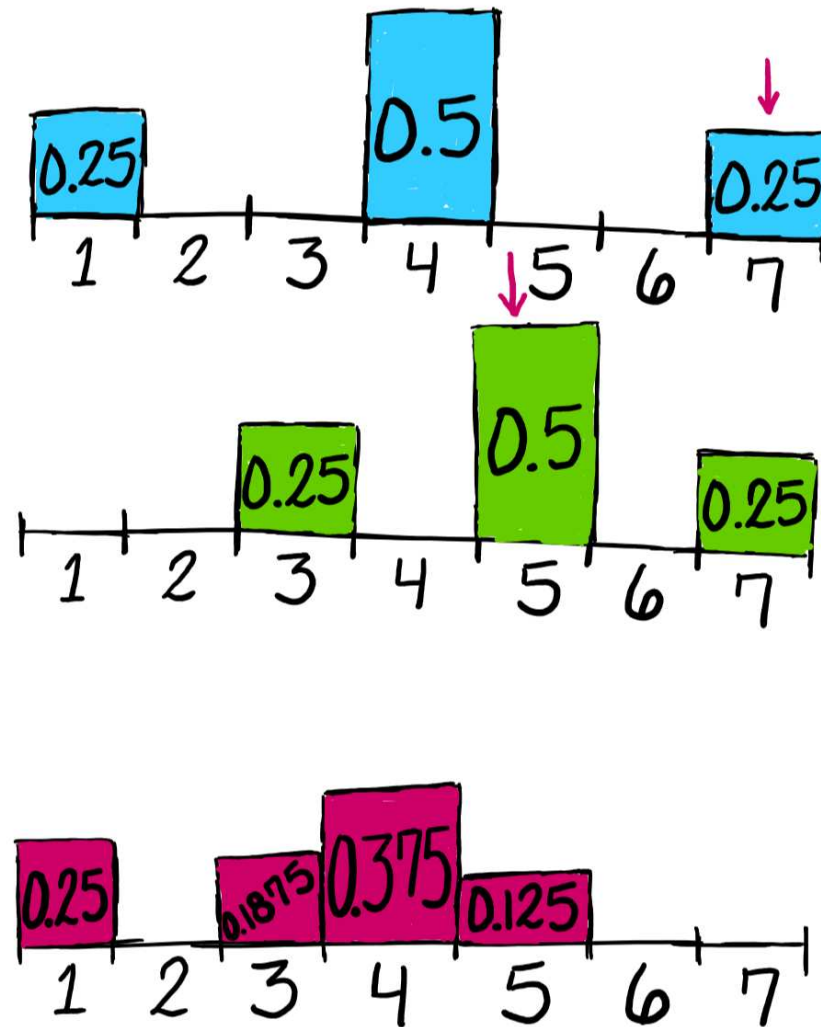
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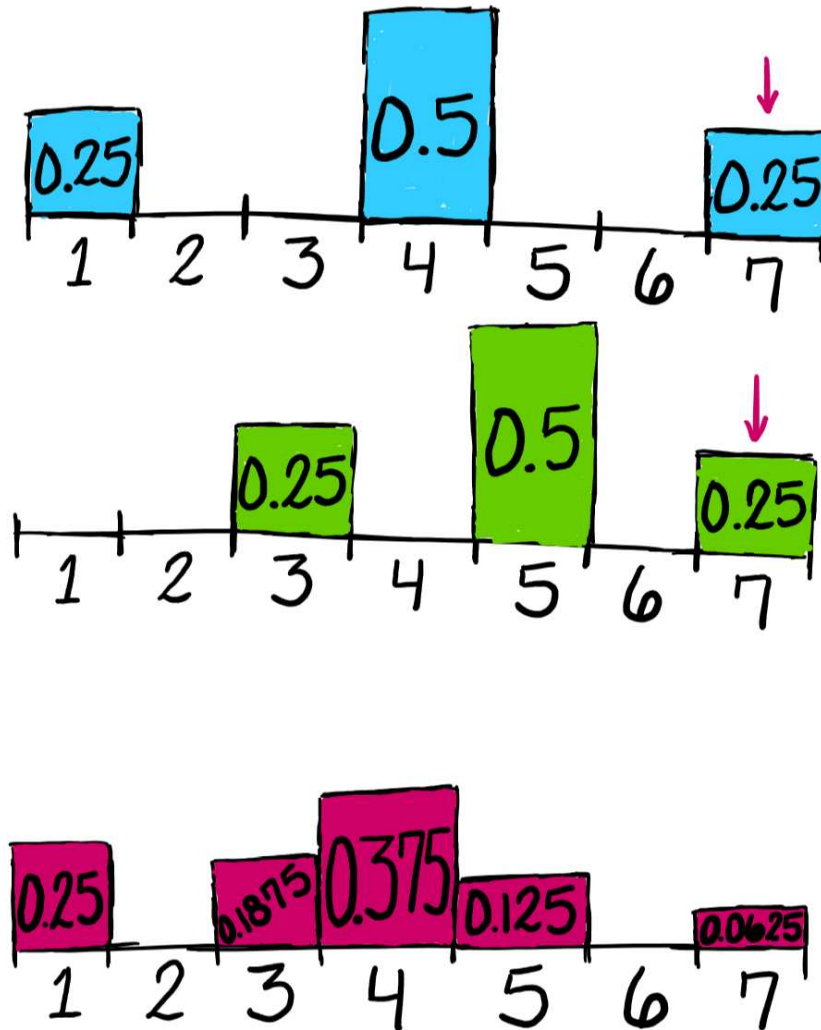
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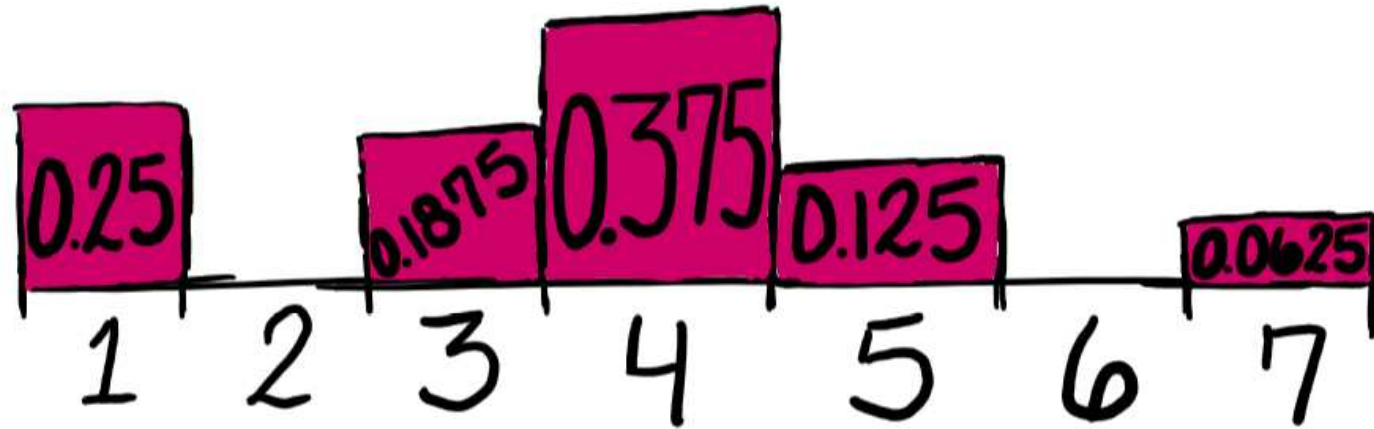
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multiply probabilities and add to minimum value



Backup Rules (4/5): Cserna Example

return resulting belief distribution



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Backup Rules (5/5): k -best

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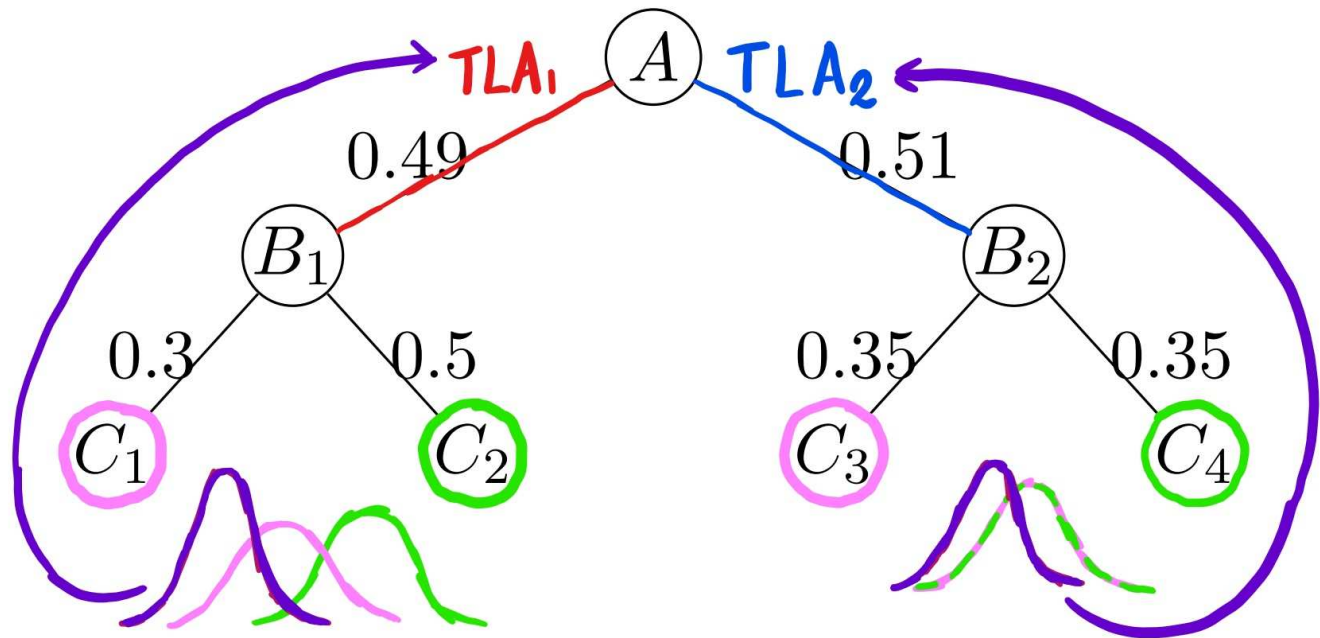
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k -best (reformulated!):

parent \leftarrow distribution of minimum values of k successors

class of backup rules ranging from Nancy to Cserna

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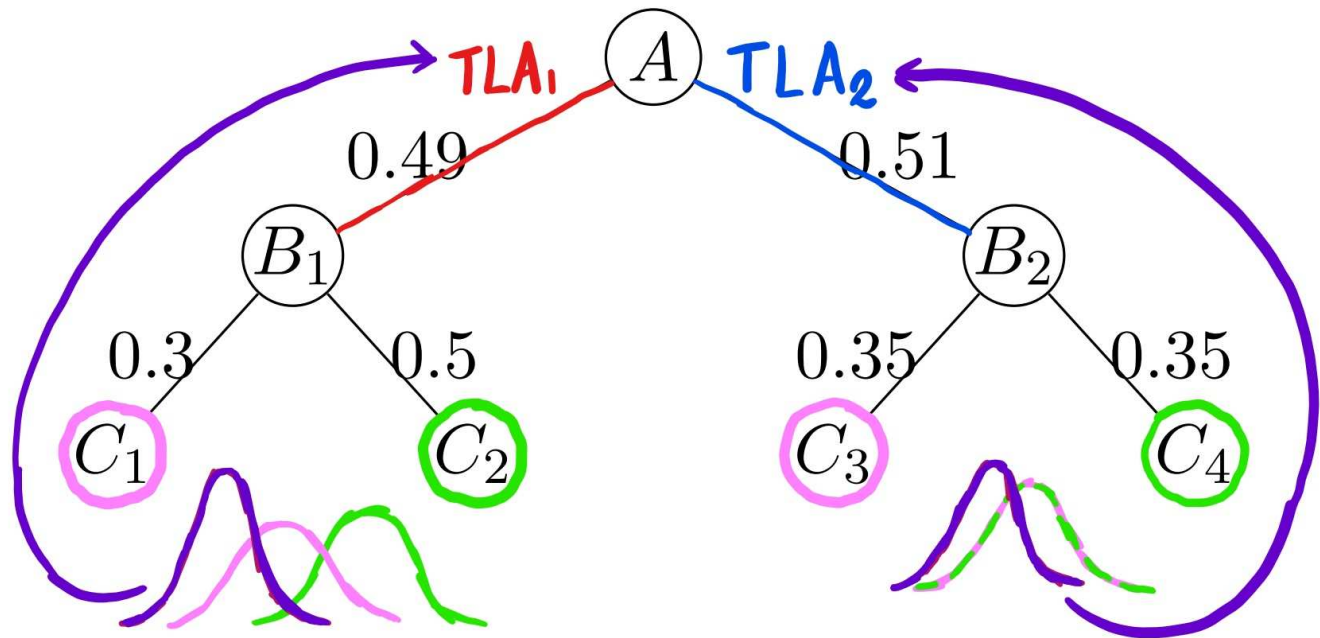
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k -best (reformulated!):

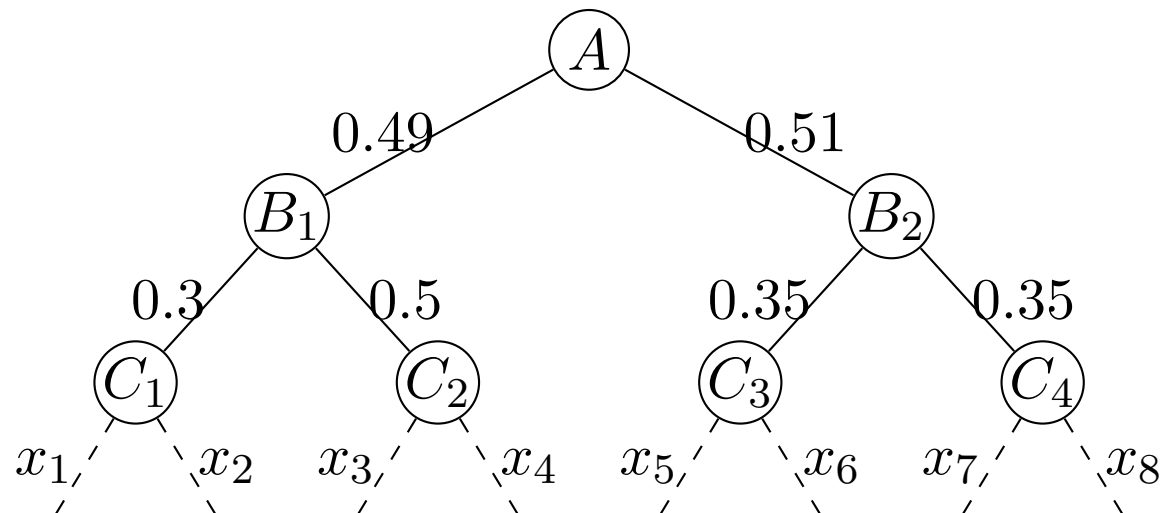
parent \leftarrow distribution of minimum values of k successors

class of backup rules ranging from Nancy to Cserna

all incorrect, which works best?

Benchmark: Last Incremental Decision

explore all but last level of search tree
backup information from frontier
agent picks first action
remaining path is optimally solved
why? used as a test by Pemberton in 1995



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■ Last Incremental

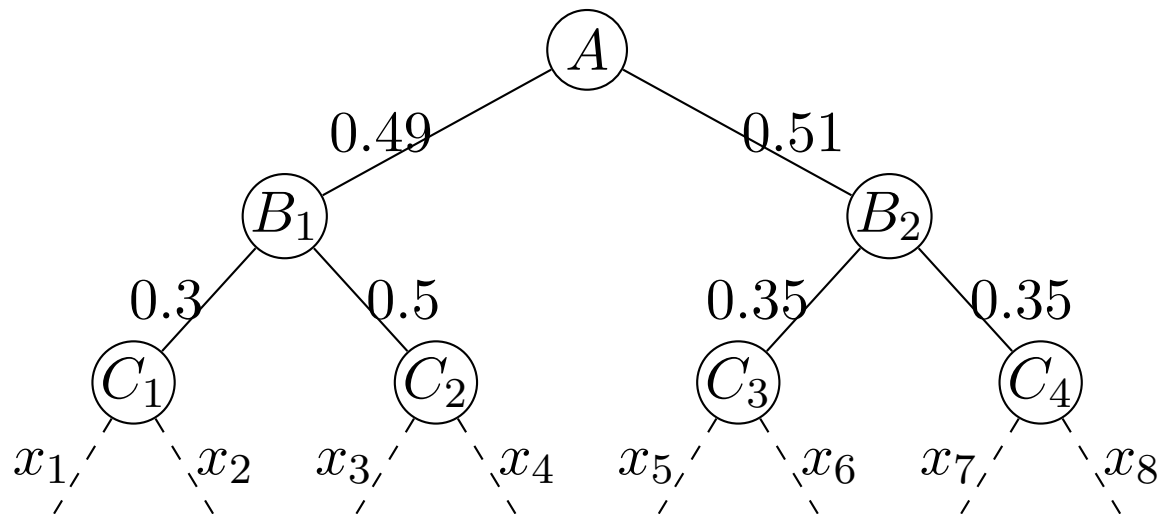
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Benchmark: Last Incremental Decision

explore all but last level of search tree
backup information from frontier
agent picks first action
remaining path is optimally solved
why? used as a test by Pemberton in 1995



precise expected values of all successors are known!
expect Cserna to be optimal on average!

One-level Belief

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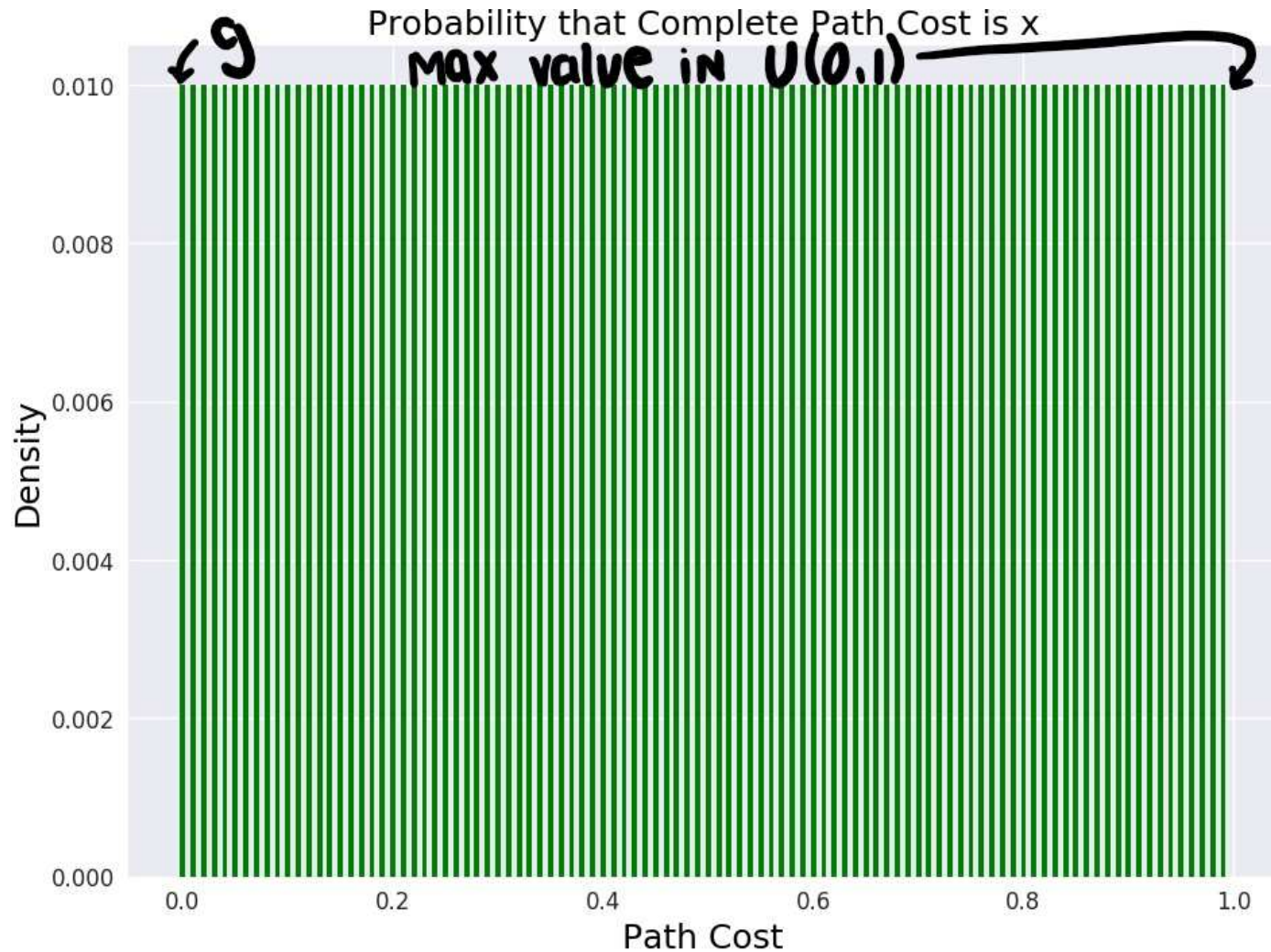
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Take minimum of n $U(0,1)$ beliefs shifted by g value

One-level Belief

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Take minimum of n $U(0,1)$ beliefs shifted by g value

One-level Belief

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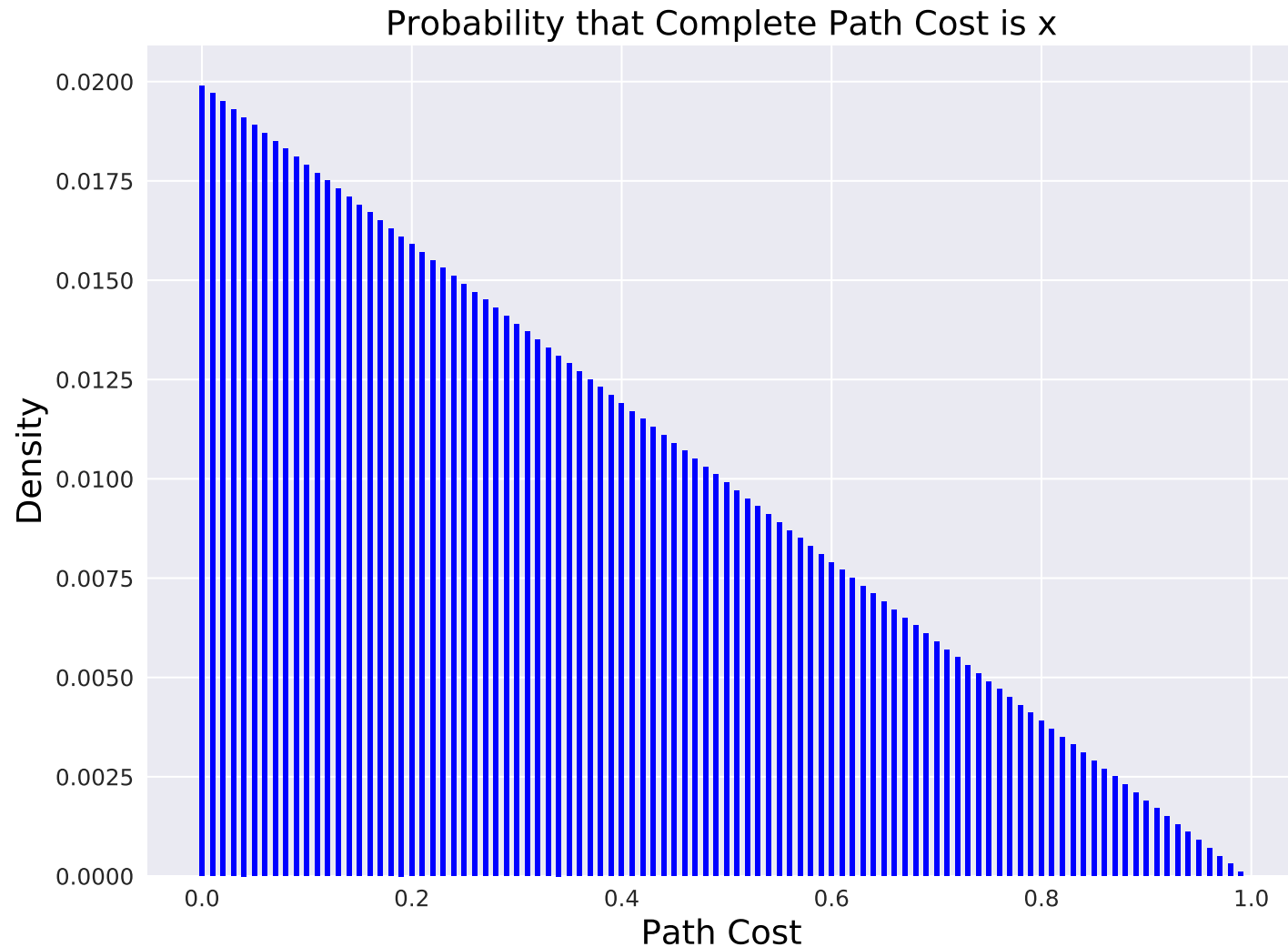
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One-level belief is result of this operation

Gaussian Belief

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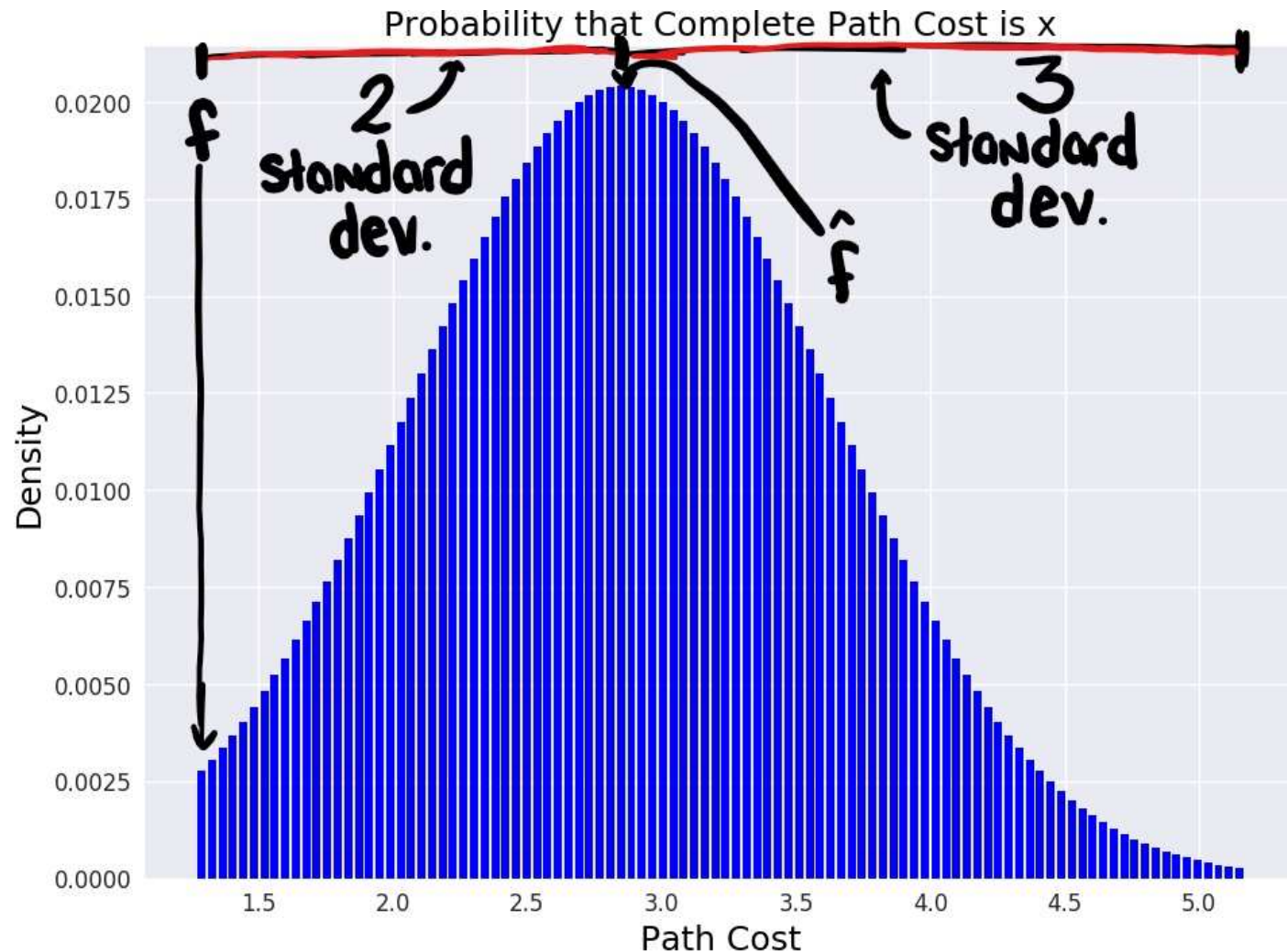
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mean at \hat{f} , variance proportional to distance from goal

Benchmark: Random Trees

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uniform n -ary tree with depth d

binary with depth 10 for last incremental decision

binary with depth 100 for other experiments

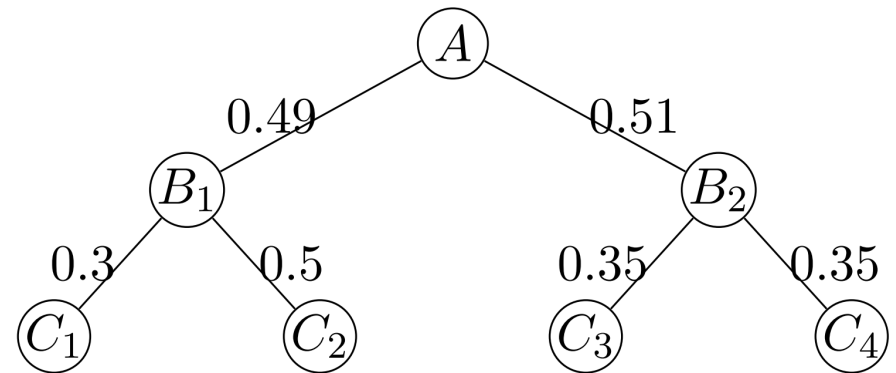
implementation lazy but deterministic

all leaf nodes are goals

edge costs $\sim \text{Uniform}(0,1)$

so $h = 0$

$g = \text{sum of edge costs}$



Benchmark: Random Trees

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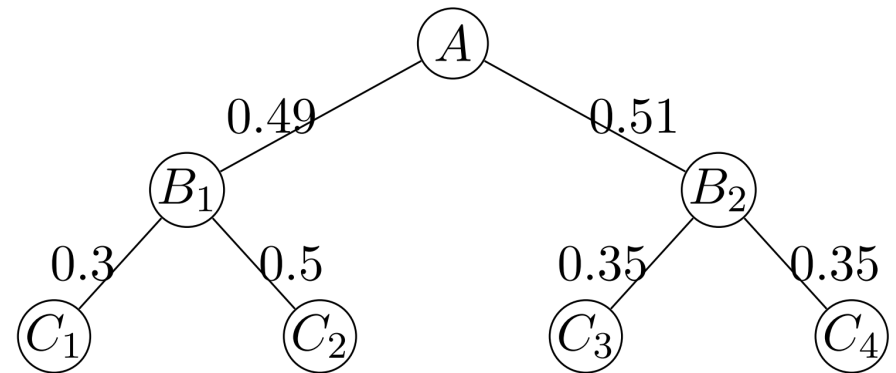
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Real-time search using different backup rules

1. limited lookahead, then take action
single action commitment
2. sum edges costs until goal

Benchmark: Random Trees

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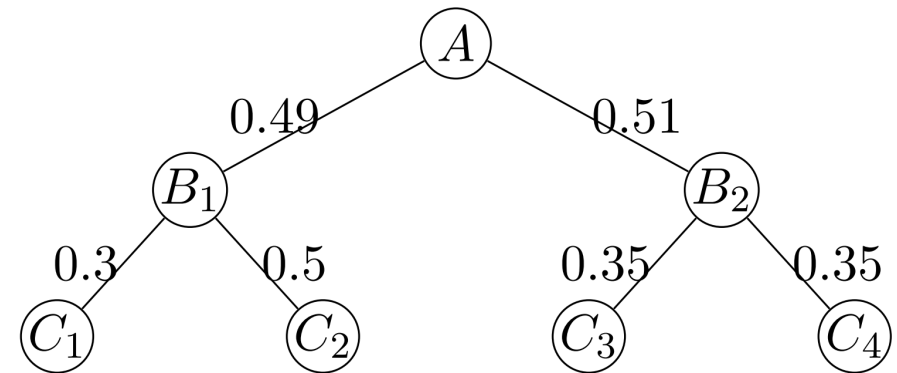
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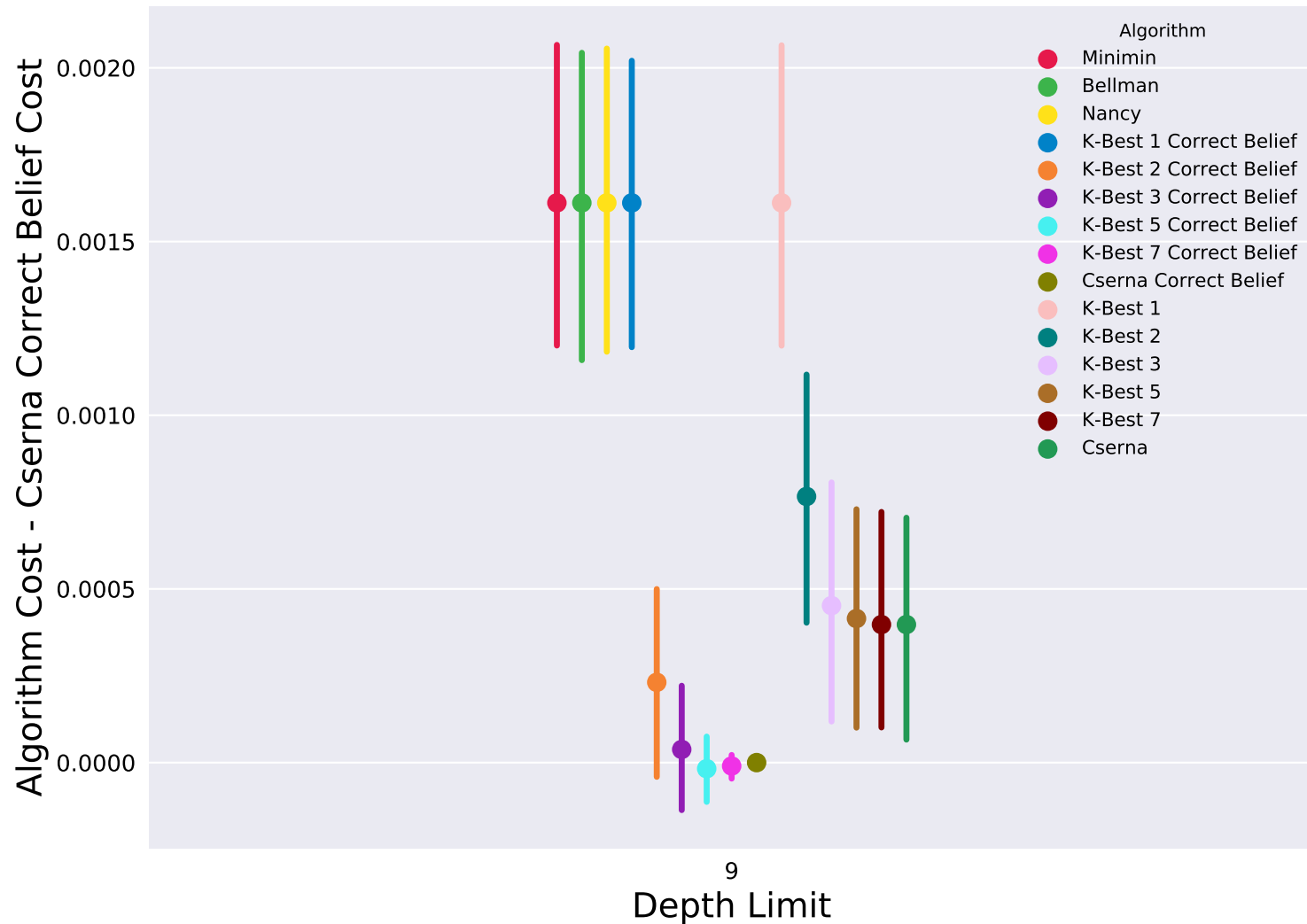
Real-time search using different backup rules

1. limited lookahead, then take action
single action commitment
2. sum edges costs until goal

best backup rule is one with lowest average solution cost!

Backup Rules on Last Incremental Decision

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as expected, Cserna is optimal on average!

Backup Rules + Uniform Bounded Depth Expansion

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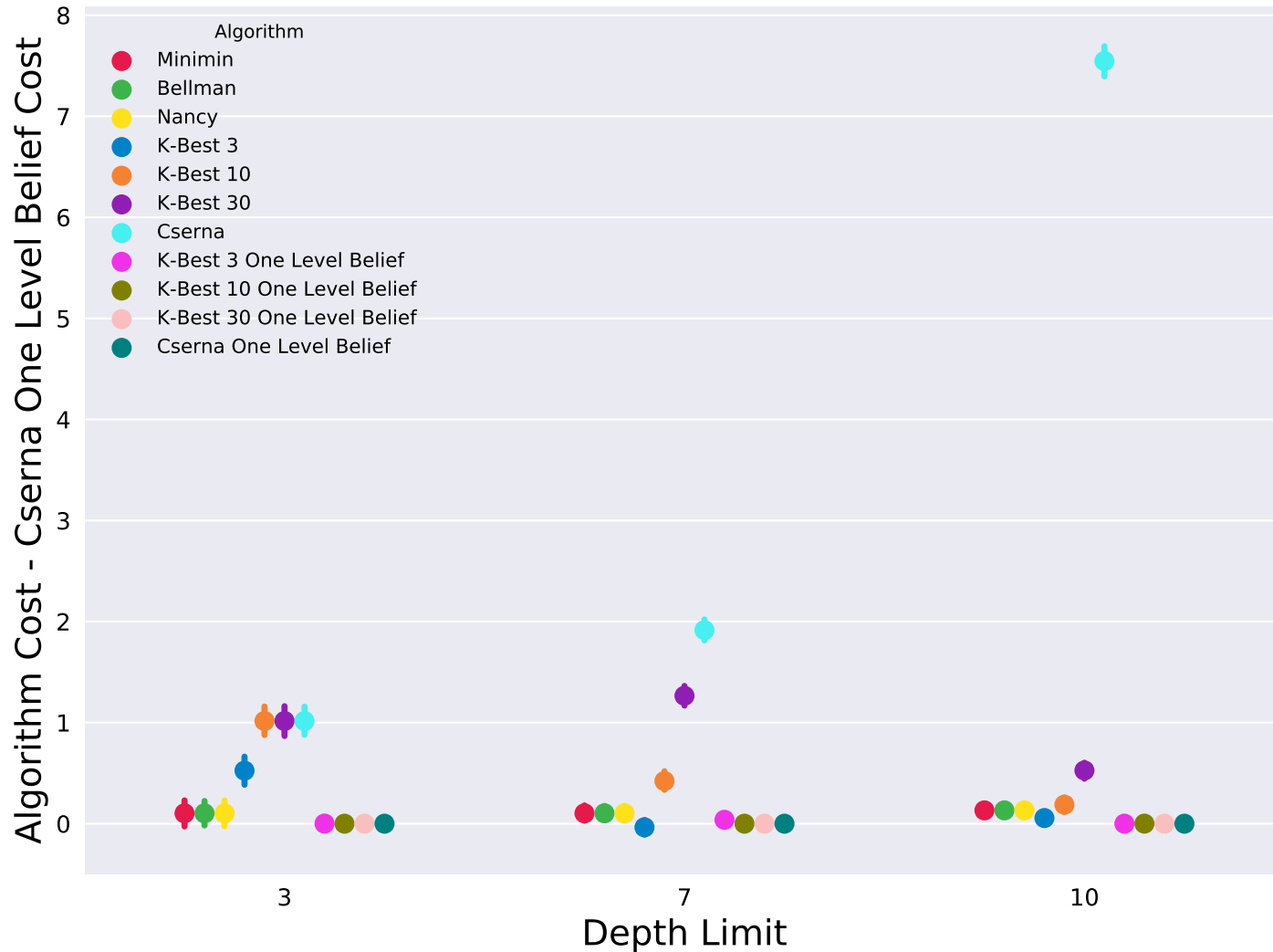
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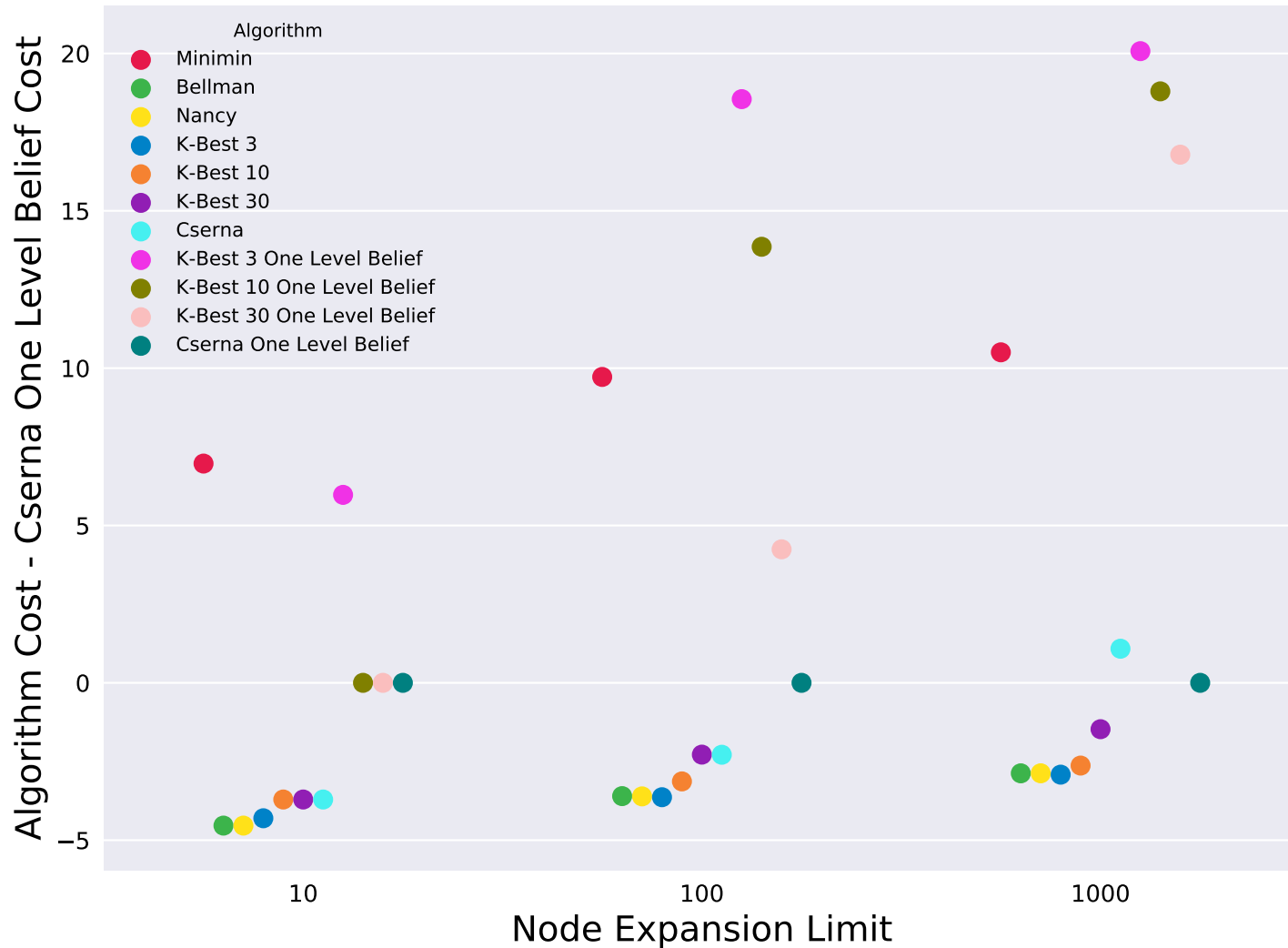
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Minimin/Bellman/Nancy equivalent, Cserna Gaussian worse

Backup Rules + f Expansion on Random Trees

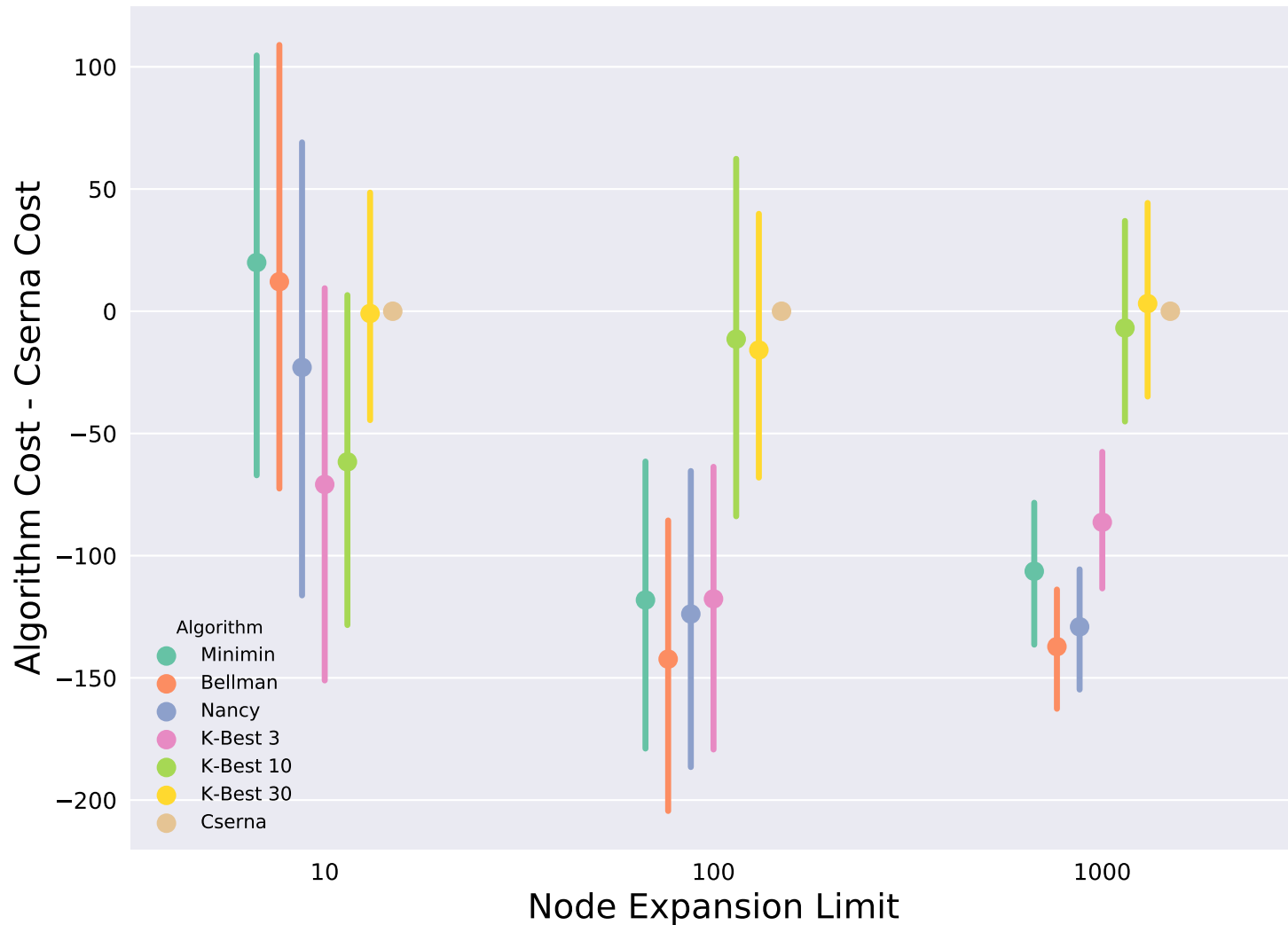
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Minimin has no h , Bellman/Nancy equivalent, Cserna worse

Backup Rules + f Expansion on 15-Puzzle

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Bellman and Nancy best overall

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1. Which action to select?
minimum \hat{f} by principle of rationality

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 Bellman or Nancy (Nancy has added benefit of belief)

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Bellman or Nancy (Nancy has added benefit of belief)
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minimum \hat{f} by principle of rationality
2. How to backup from frontier?
Bellman or Nancy (Nancy has added benefit of belief)
3. Which nodes to expand?

setting:

- search expands frontier nodes under top-level actions (TLAs)
- only lowest \hat{f} node under TLA is important (Bellman/Nancy backup)
- how to select node to expand?

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f expansion:

expand node on frontier with lowest lower bound
ignores uncertainty in heuristic

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expand nodes in order of generation

literally just brute force

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Risk-based expansion (new!):

expand nodes which minimize expected regret
acknowledges uncertainty, relies on belief of values

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literally just brute force

alternatives?

Risk-based expansion (new!):

expand nodes which minimize expected regret
acknowledges uncertainty, relies on belief of values

which of these performs best?

Risk-based Expansion

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Risk: expected regret if a suboptimal action is selected

$$\mathbb{E} [f^*(\alpha) - f^*(\beta) \mid f^*(\beta) < f^*(\alpha)]$$

expectation over possible values for TLAs

exploit full belief given by Nancy backups

Risk-based Expansion

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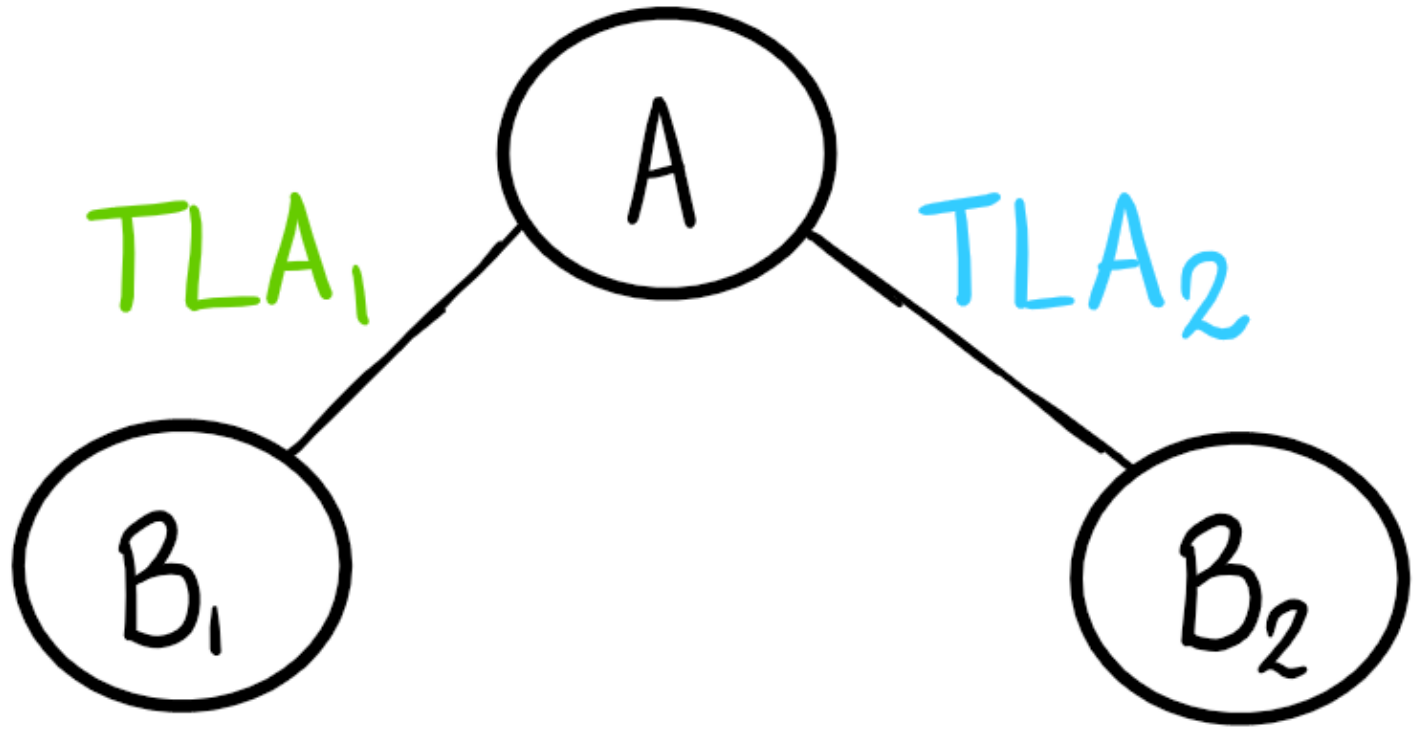
in discrete case, where α is TLA with lowest expected value, all other TLAs are β_i , and a and b_i are potential values from their beliefs:

$$\sum_{\beta_i} \left(\sum_a \sum_{b_i < a} P(a)P(b_i)(a - b_i) \right)$$

expand under the TLA that minimizes risk!

Risk-based Expansion Example

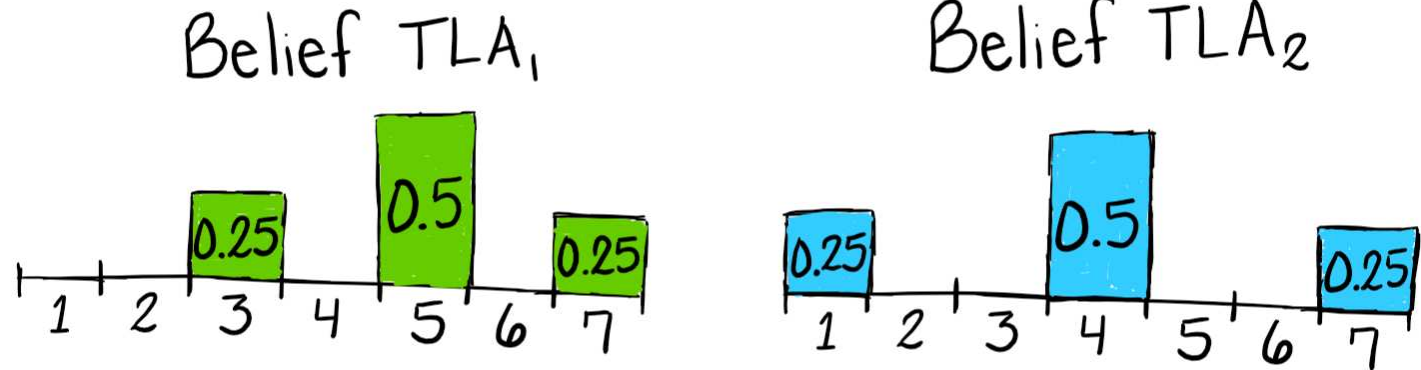
expand under TLA_1 or TLA_2 ?



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Risk-based Expansion Example

obtain beliefs of TLA_1 and TLA_2



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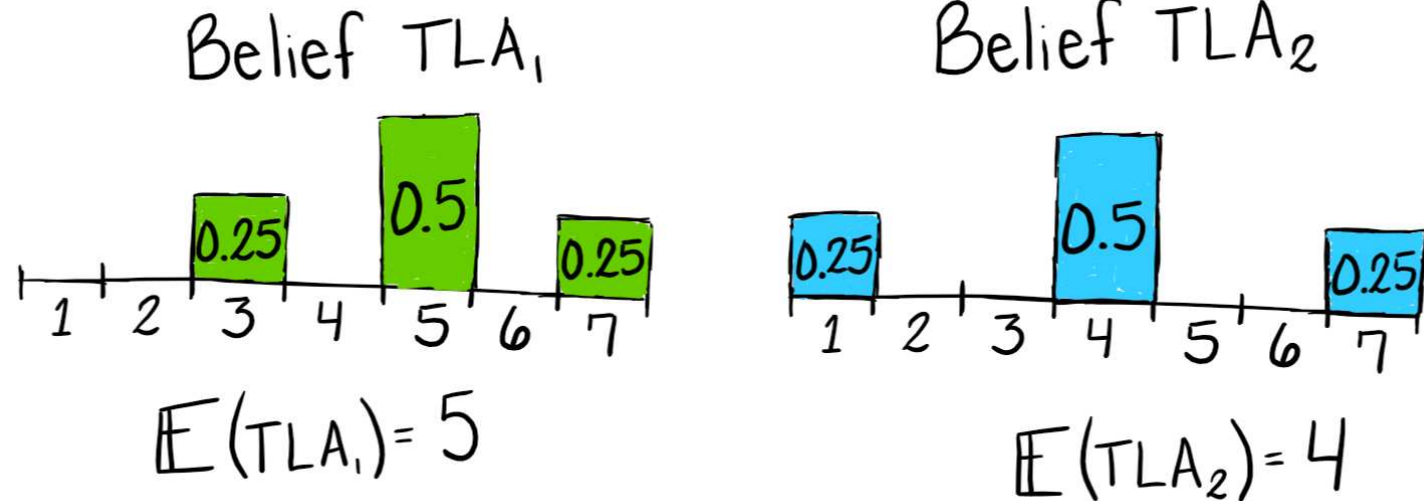
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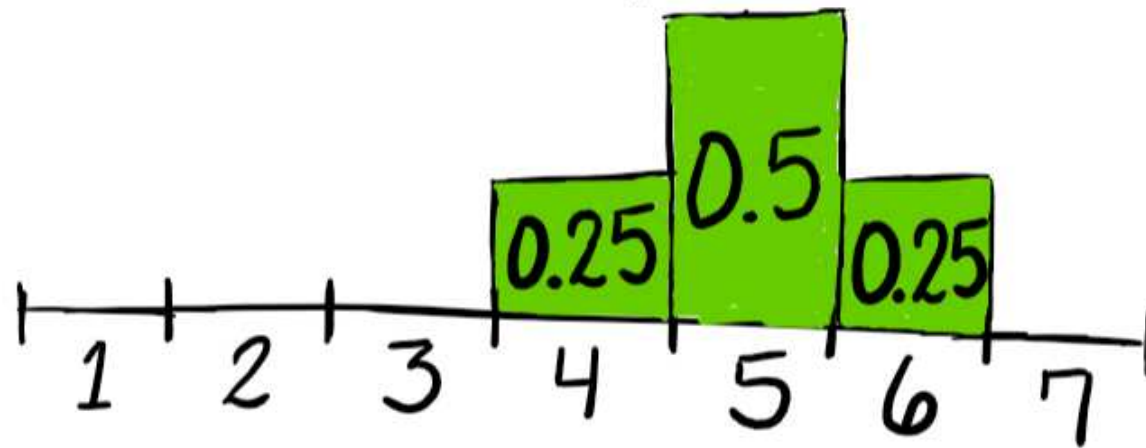
identify TLA_2 as α TLA



Risk-based Expansion Example

calculate post expansion belief for TLA_1

Belief TLA_1
Post Expansion



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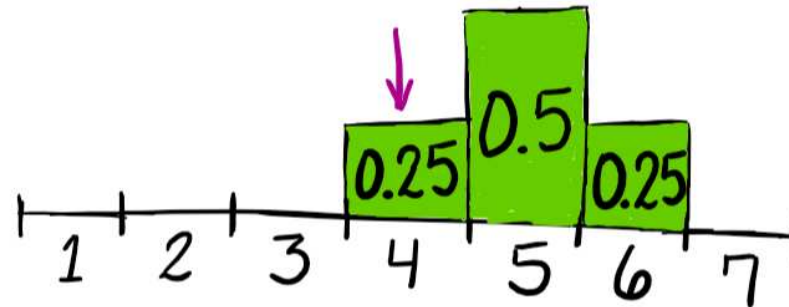
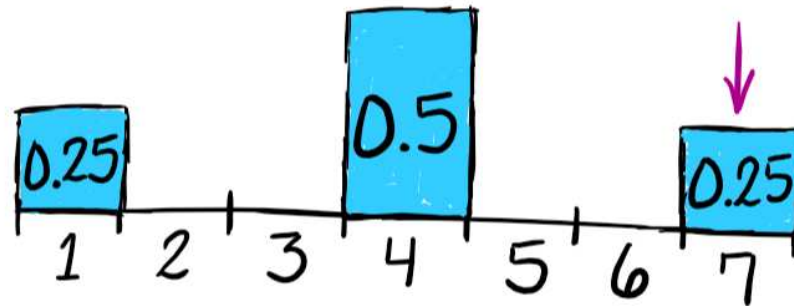
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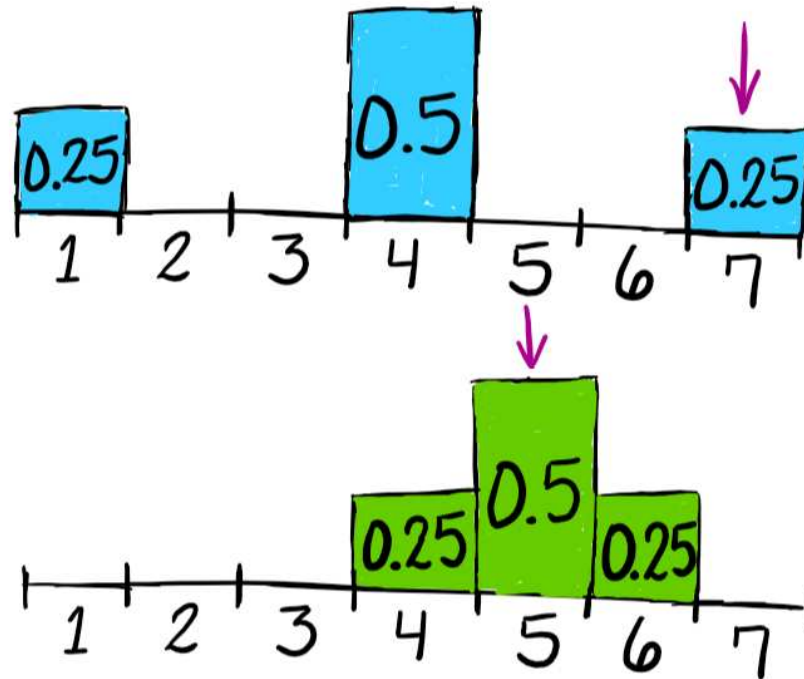
use post expansion belief to calculate risk
of expansion under TLA_1



$$\text{risk}_{TLA_1} = 0.25 \times 0.25 \times (7 - 4)$$

Risk-based Expansion Example

use post expansion belief to calculate risk of expansion under TLA_1



$$\text{risk}_{TLA_1} = 0.1875 + 0.25 \times 0.5 \times (7-5)$$

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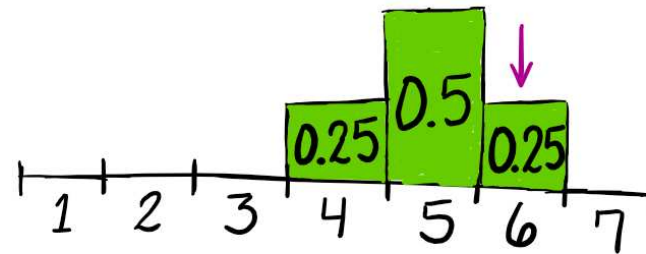
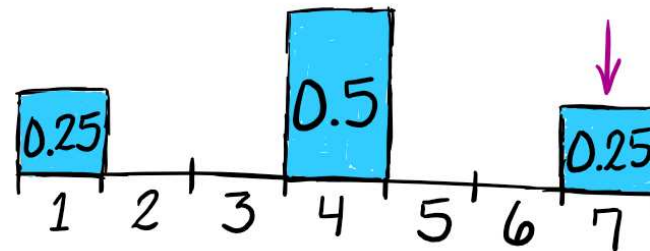
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risk of expansion under TLA_1 is 0.5!



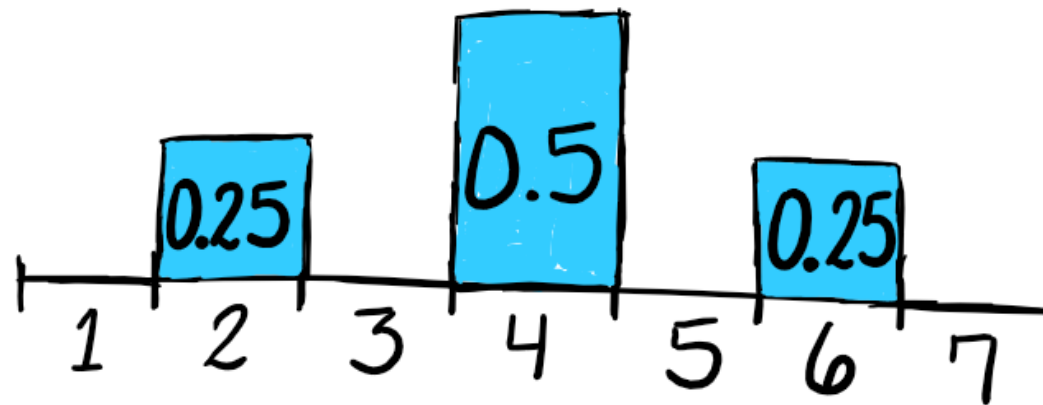
$$\text{risk}_{TLA_1} = 0.4375 + 0.25 \times 0.25 \times (7-6)$$

$$\text{risk}_{TLA_1} = 0.5$$

Risk-based Expansion Example

calculate post expansion belief for TLA_2

Belief TLA_2
Post Expansion



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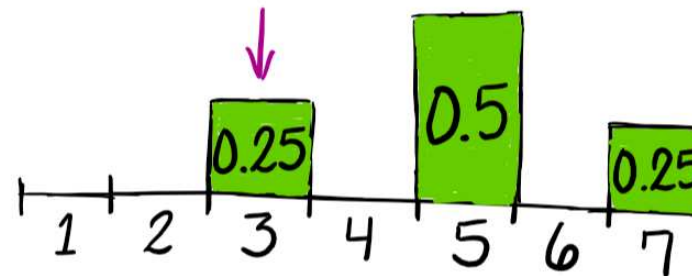
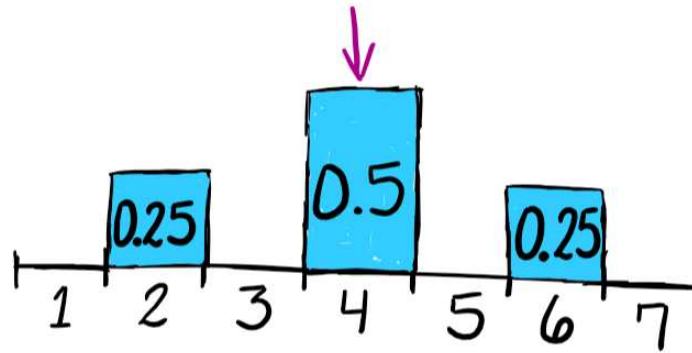
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use post expansion belief to calculate risk
of expansion under TLA_2



$$\text{risk}_{TLA_2} = 0.5 \times 0.25 \times (4-3)$$

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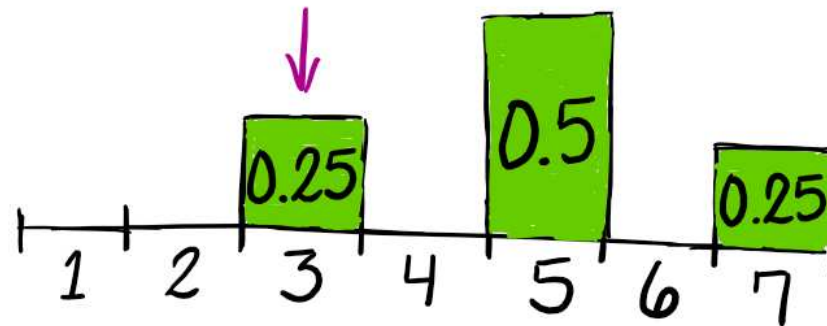
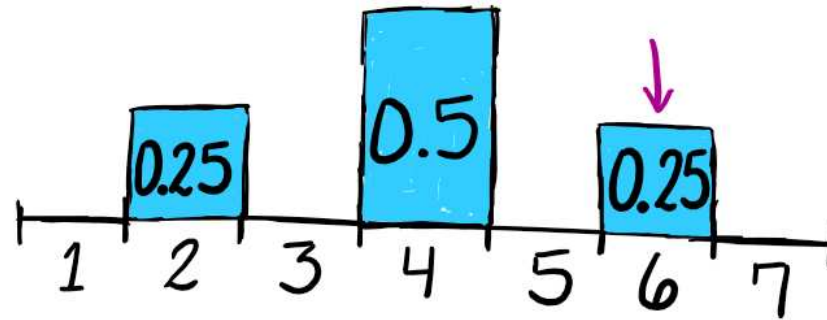
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use post expansion belief to calculate risk
of expansion under TLA_2



$$\text{risk}_{TLA_2} = 0.125 + 0.25 \times 0.25 \times (6-3)$$

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risk of expansion under TLA_2 is



$$\text{risk}_{TLA_2} = 0.3125 + 0.25 \times 0.5 \times (6-5)$$

$$\text{risk}_{TLA_2} = 0.4375$$

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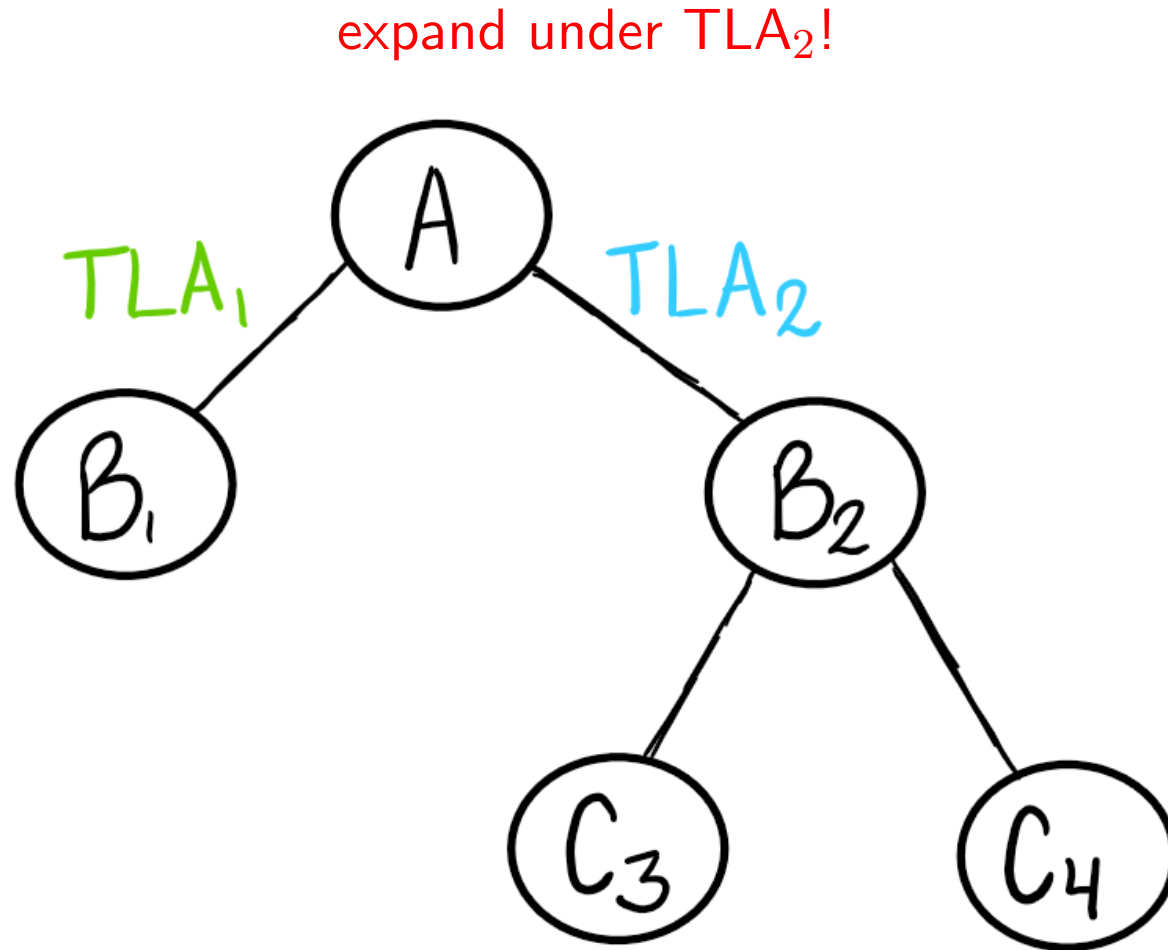
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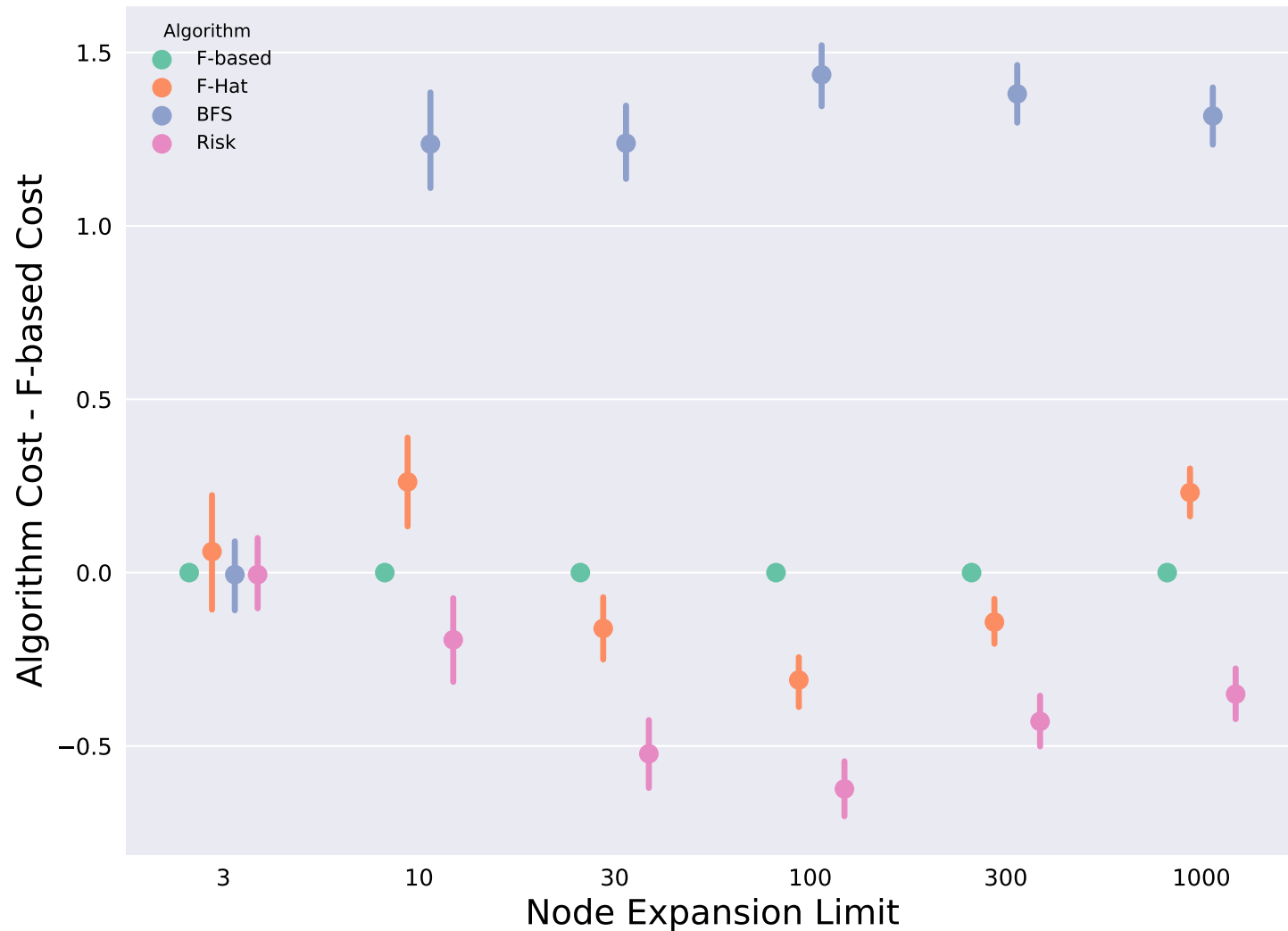
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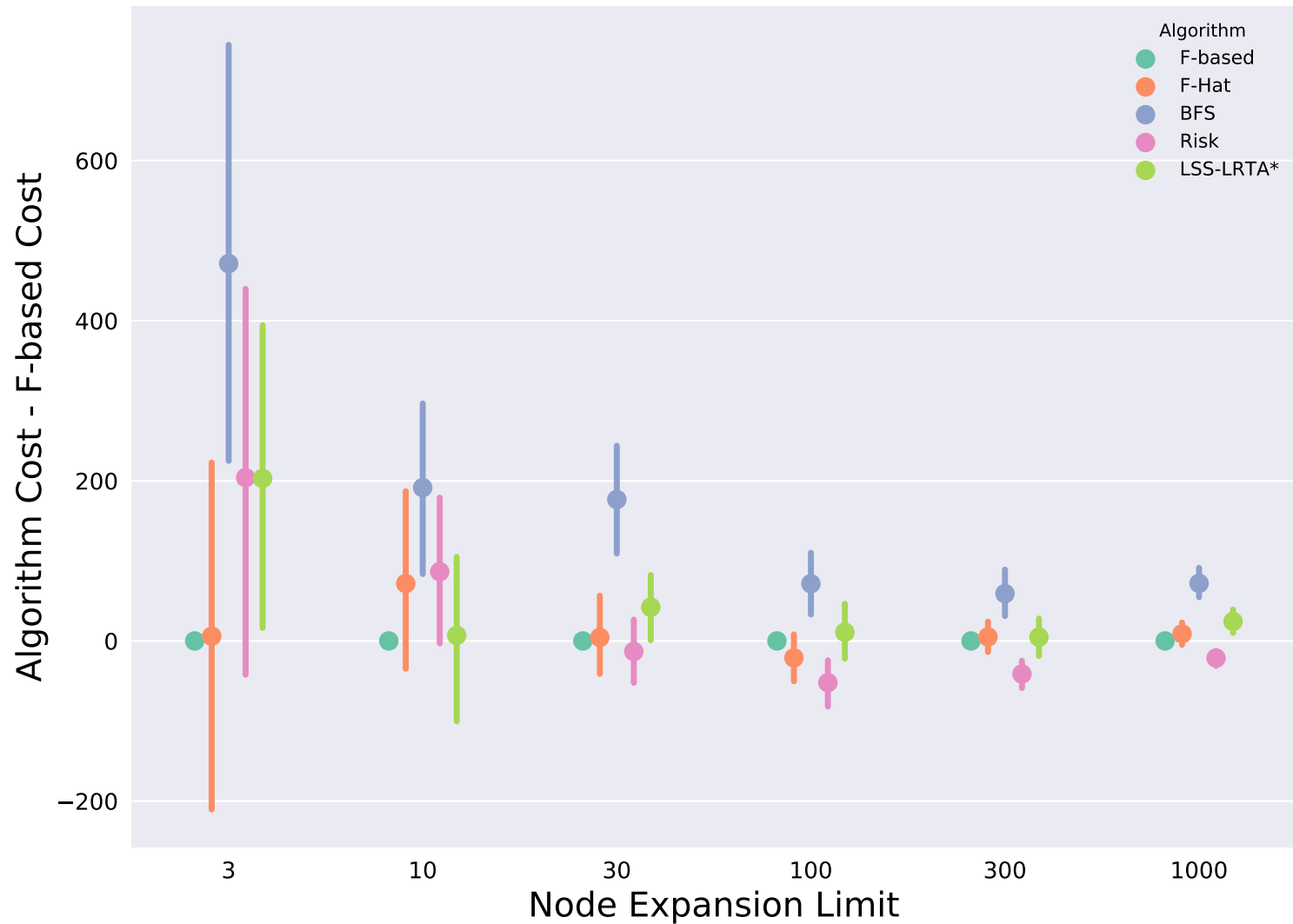
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risk performs best!

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risk performs best!

Optimality Gap Relative to f -expansion on 15-Puzzle

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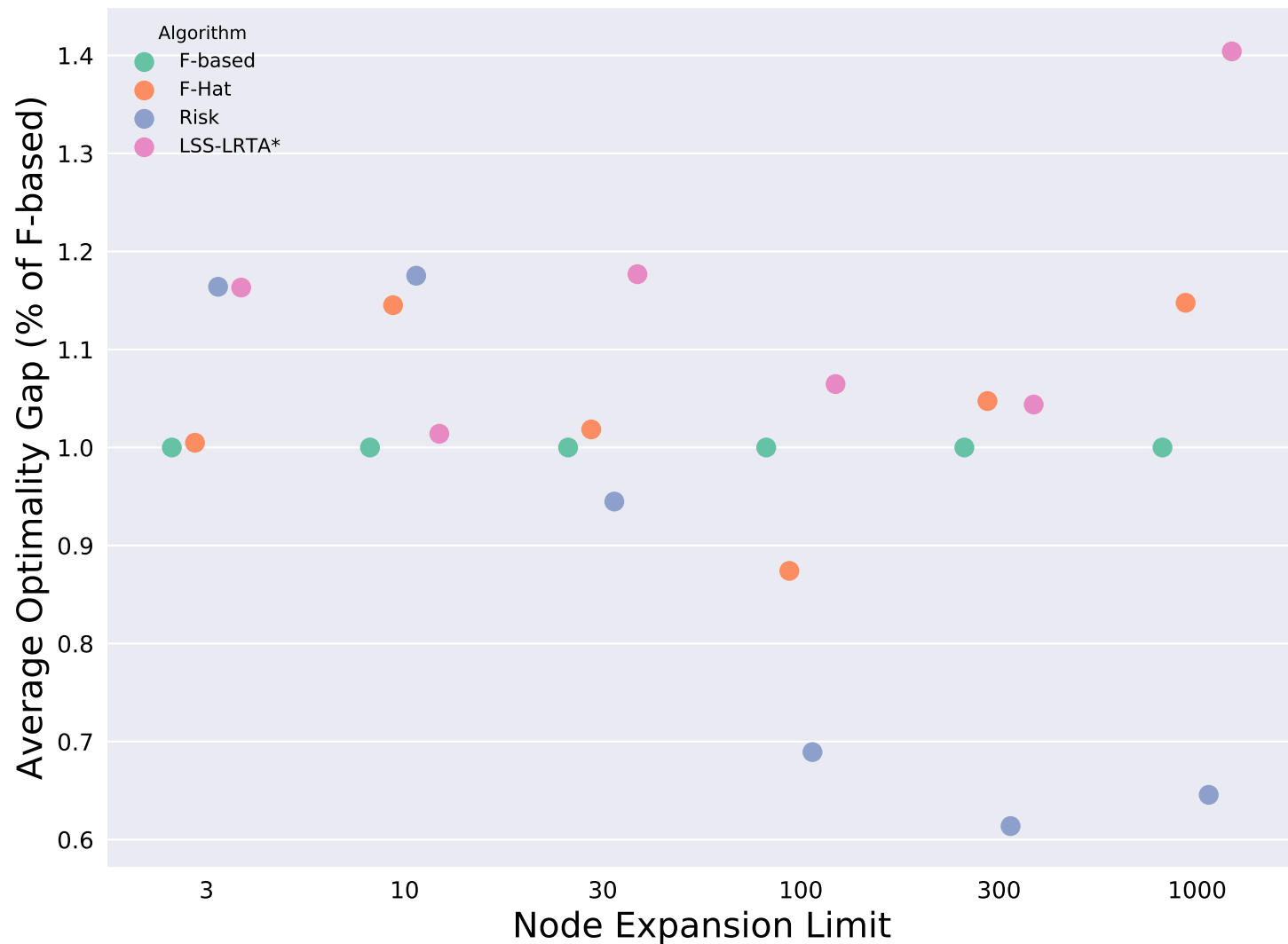
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risk has a fraction of f -based expansion's optimality gap!

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1. Which action to select?
minimum \hat{f} by principle of rationality

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those which minimize risk

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1. Which action to select?
minimum \hat{f} by principle of rationality
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3. Which nodes to expand?
those which minimize risk

Nancy (Nancy backups + risk-based expansion) addresses these questions!

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viewed real-time planning as decision-making under uncertainty

- studied 5 backup rules (2 new + 1 reformulated)
- Bellman and Nancy backups performed best
- discussed 4 expansion strategies (1 new)
- risk-based expansion performed best

Nancy (risk-based expansion + Nancy backups) outperforms conventional LSS-LRTA*

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future directions:

- broader testing
- efficient implementation
- explore similarities with UCT

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More broadly, metareasoning about uncertainty pays off, even for deterministic domains!